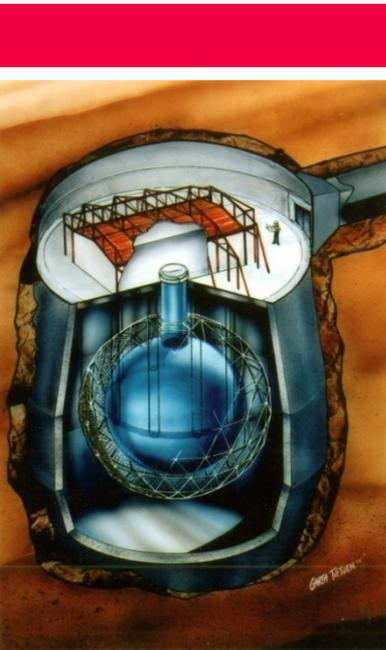




Thanks: Olivier Simard, Pierre-Luc Drouin, Stan Seibert and Gabriel Orebi Gann



# The Final Cut at the Sudbury Neutrino Observatory

*Alain Bellerive*

*Canada Research Chair*



# Outline

- Why ?
- Neutrinos From the Sun
- Sudbury Neutrino Observatory (SNO)
- Observables
- Solar Neutrino Flux
- Mixing Parameters

# Outline

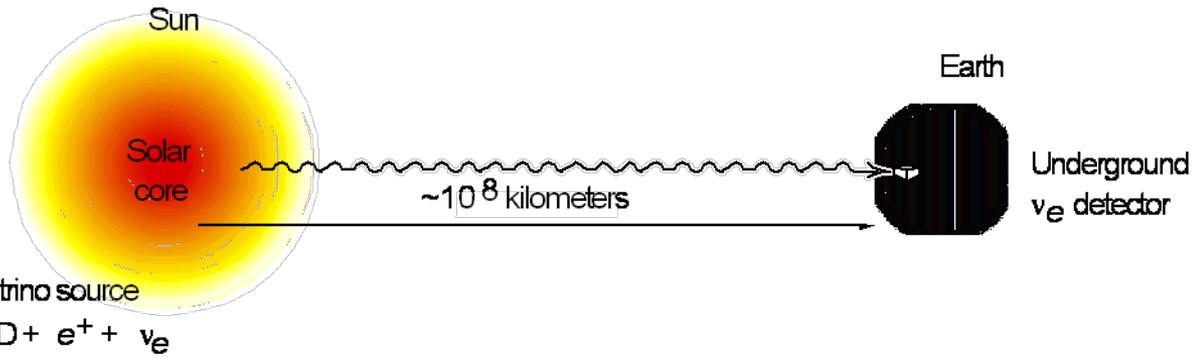
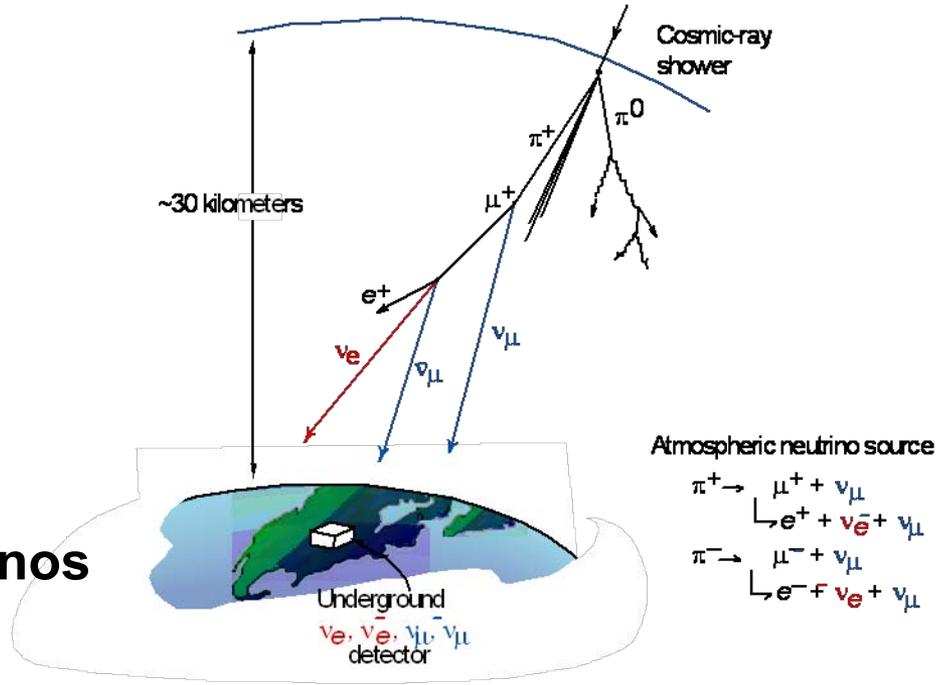
- Mixing Parameters
- Solar Neutrino Flux
- Observables
- Sudbury Neutrino Observatory (SNO)
- Neutrinos From the Sun
- Why ?

# Evidence for Neutrino Mixing

First evidence of neutrino oscillation

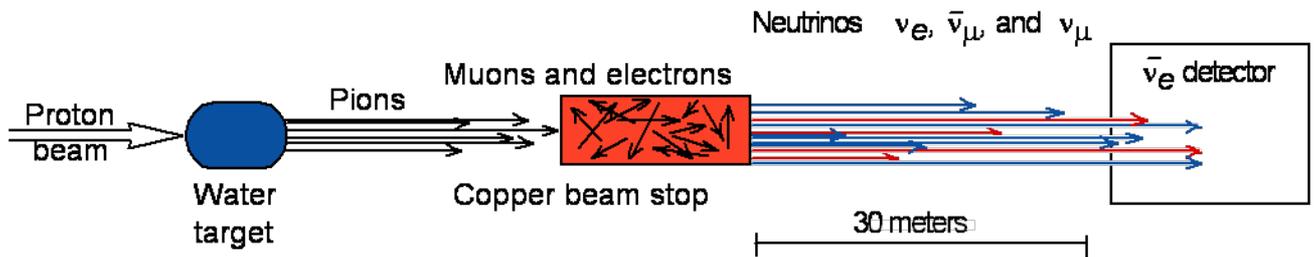
$$\frac{v_{\mu}}{v_e} \neq 2$$

## Atmospheric Neutrinos high energies



## Solar Neutrinos low energies

Today's talk !!!



## Beamstop Neutrinos tunable energies

Future!

# Neutrino Mixing

As in the quark sector one defines a neutrino mixing matrix which relates the mass and weak eigenstates

## Mixing Matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Quark:  $\theta_{12} \approx \pi / 14$

$\theta_{23} \approx \pi / 76$

yes

$\theta_{13} \approx \pi / 870$

Neutrino:  $\theta_{12} \approx \pi / 6$

$\theta_{23} \approx \pi / 4$

???

$\theta_{13} < \pi / 20$

solar

atmospheric

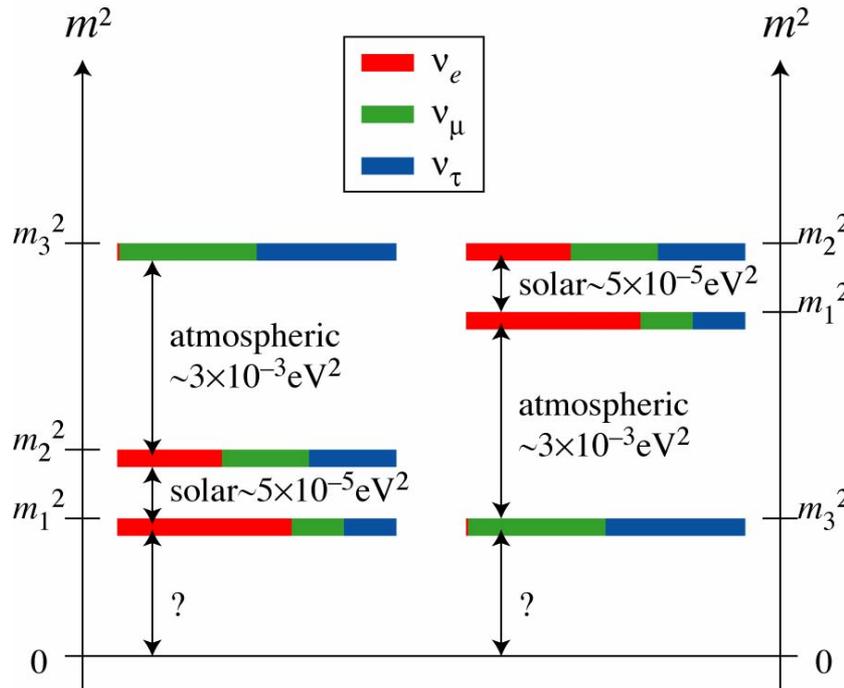
CP violation

short-baseline

$$U_{\alpha l} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{-i\delta} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13} \\ 0 & 1 & 0 \\ -s_{13} & 0 & c_{13} \end{pmatrix}$$

where  $c_{ij} = \cos \theta_{ij}$ , and  $s_{ij} = \sin \theta_{ij}$

# Neutrino Mass



Mass hierarchy  
 with  $\Delta m_{12}^2 > 0$   
 is assumed  
 Is it ???

Note the small  
 $\nu_e$  component  
 in  $\nu_3$  from  
 atmospheric  
 results  
 $P(\nu_\mu \rightarrow \nu_\mu)!!!$

Neutrino can only mix (quantum effect) if there are mass differences  
 between the states

It implies neutrinos have masses which leads to physics beyond  
 the Minimal Standard Model

# The First Piece

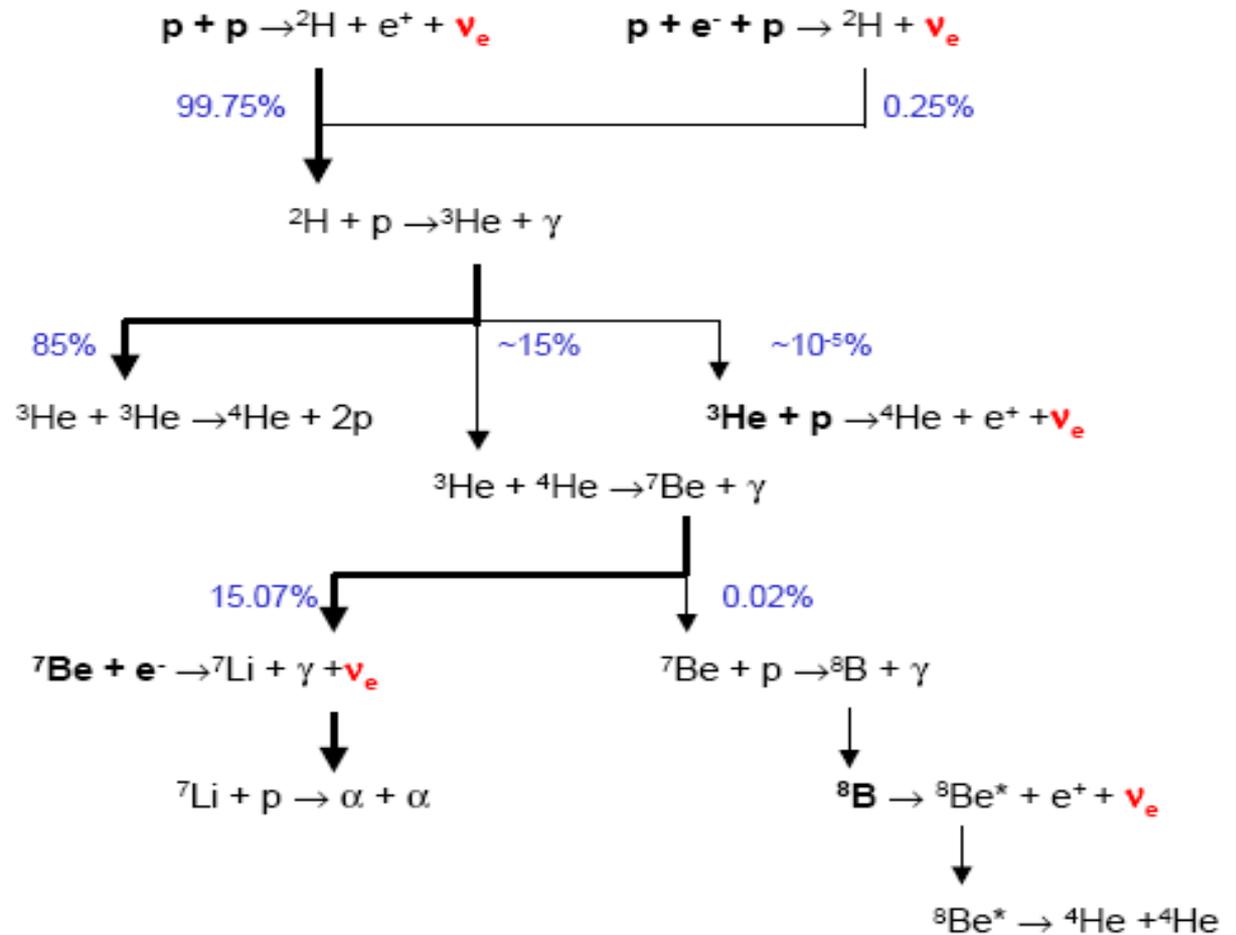
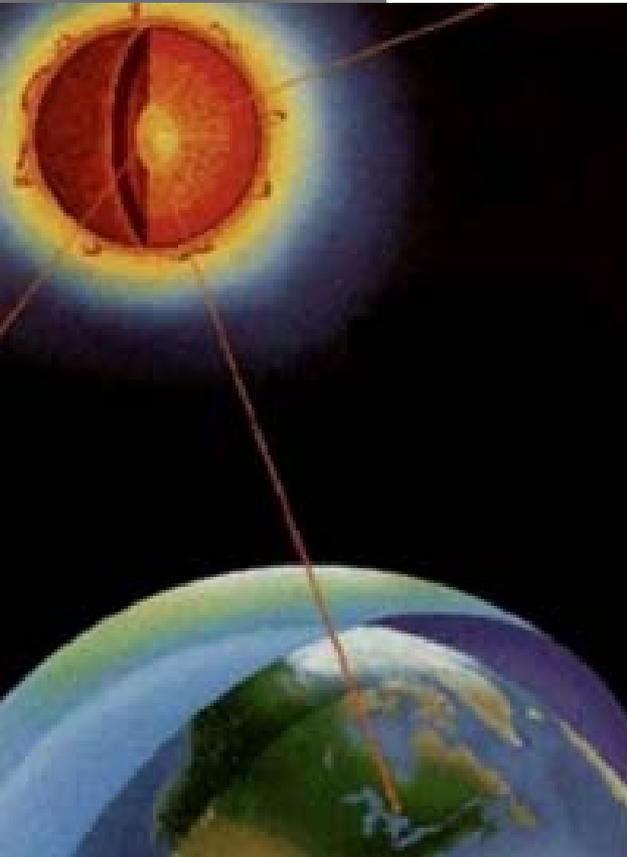
## Solar Neutrino Flux

- The Sun produces  $\nu_e$  in fusion nuclear reactions
- Solar neutrino oscillation occurs inside the Sun
- Survival probability depends on the neutrino energy

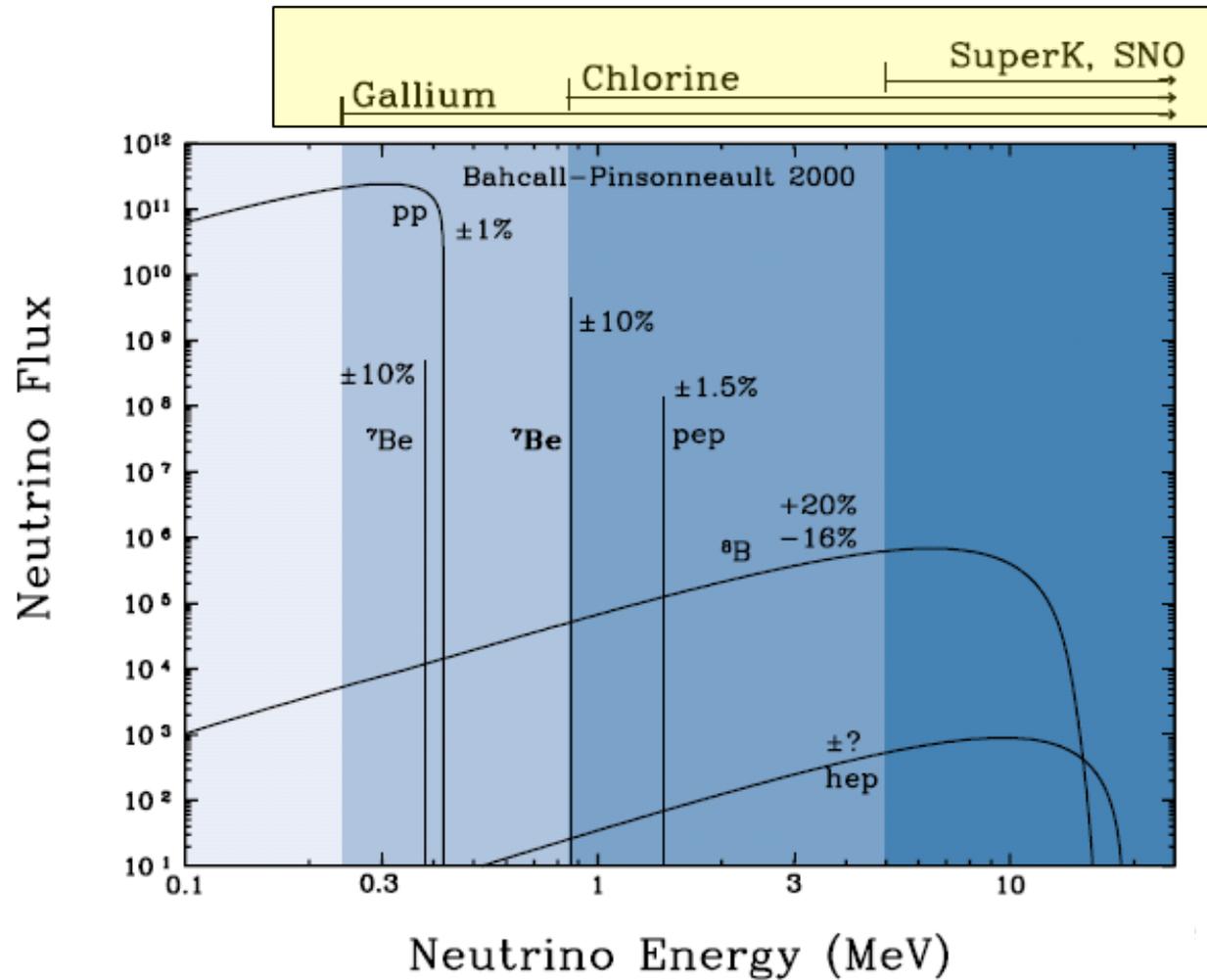




# Solar Neutrinos



# Solar Neutrinos





$\nu_e$

# Solar Neutrino Mixing

$$P_{ee} \equiv P(\nu_e \rightarrow \nu_e)$$

$$P_{ee} = \cos^4(\theta_{13}) \sin^2(2\theta_{12}) \sin^2(\varphi)$$

$$P_{ee} \approx \sin^2(2\theta_{12}) \sin^2(\varphi)$$

$$\text{where } \varphi = 1.27 \Delta m_{12}^2 L / E$$

Physics:

$$\Delta m_{12}^2 \text{ \& } \sin(2\theta_{12})$$

Experiment:

Distance (**L**) & Energy (**E**)

**Survival Probability  
3 Parameters !**

$$\Delta m_{12}^2 = m_2^2 - m_1^2$$

signed quantity

$$\theta_{12} = \text{solar mixing}$$

$$\theta_{13} = \text{small}$$

*The state  
evolves with  
time or distance*

# Mixing Parameters

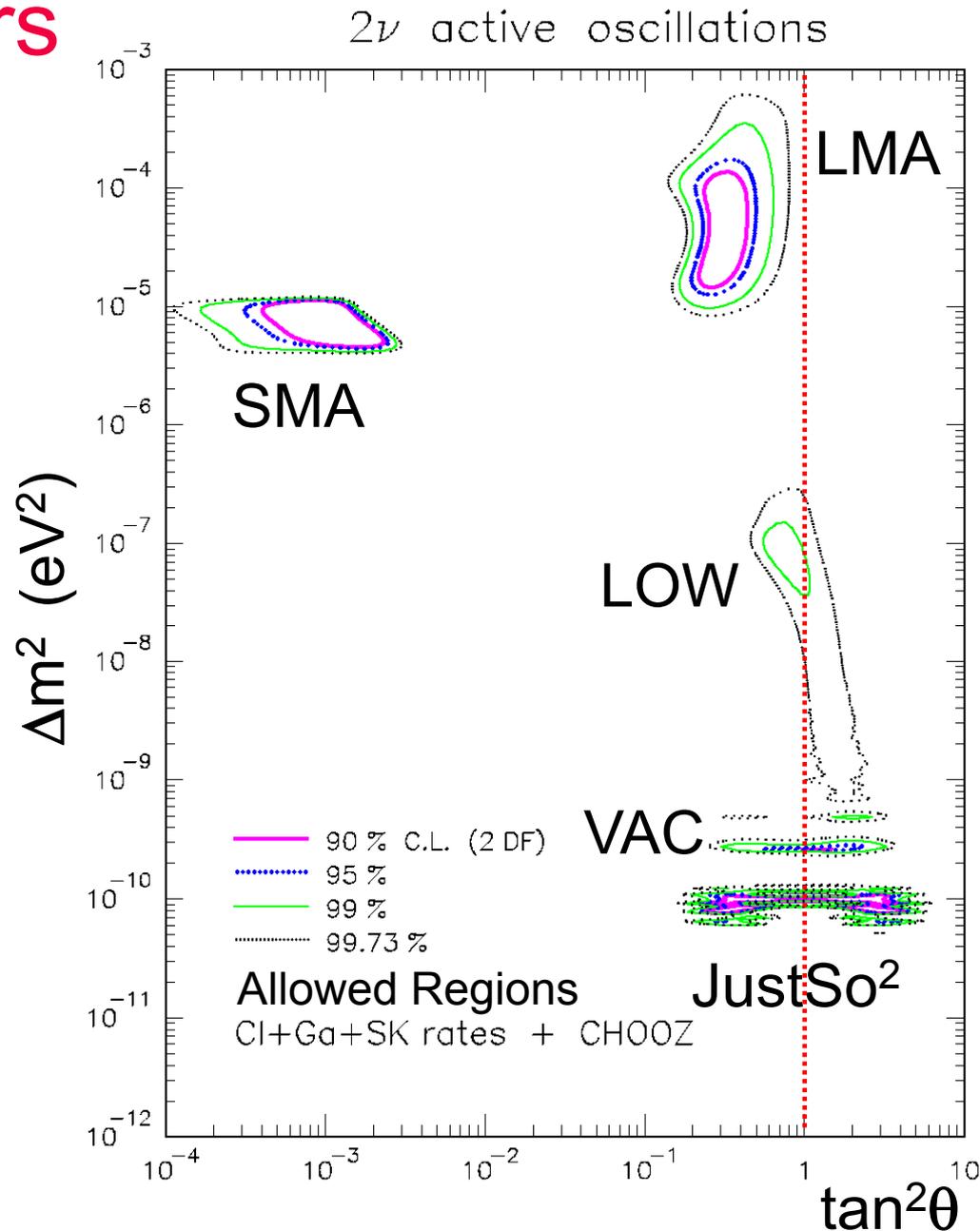
Combination of the  
Chlorine, Gallium,  
SK, and CHOOZ  
restricted the mixing  
parameters

**Pre SNO**

$$\Delta m^2 = \Delta m_{12}^2$$

$$\theta = \theta_{12}$$

$$\theta_{13} = 0$$



# Mixing Parameters

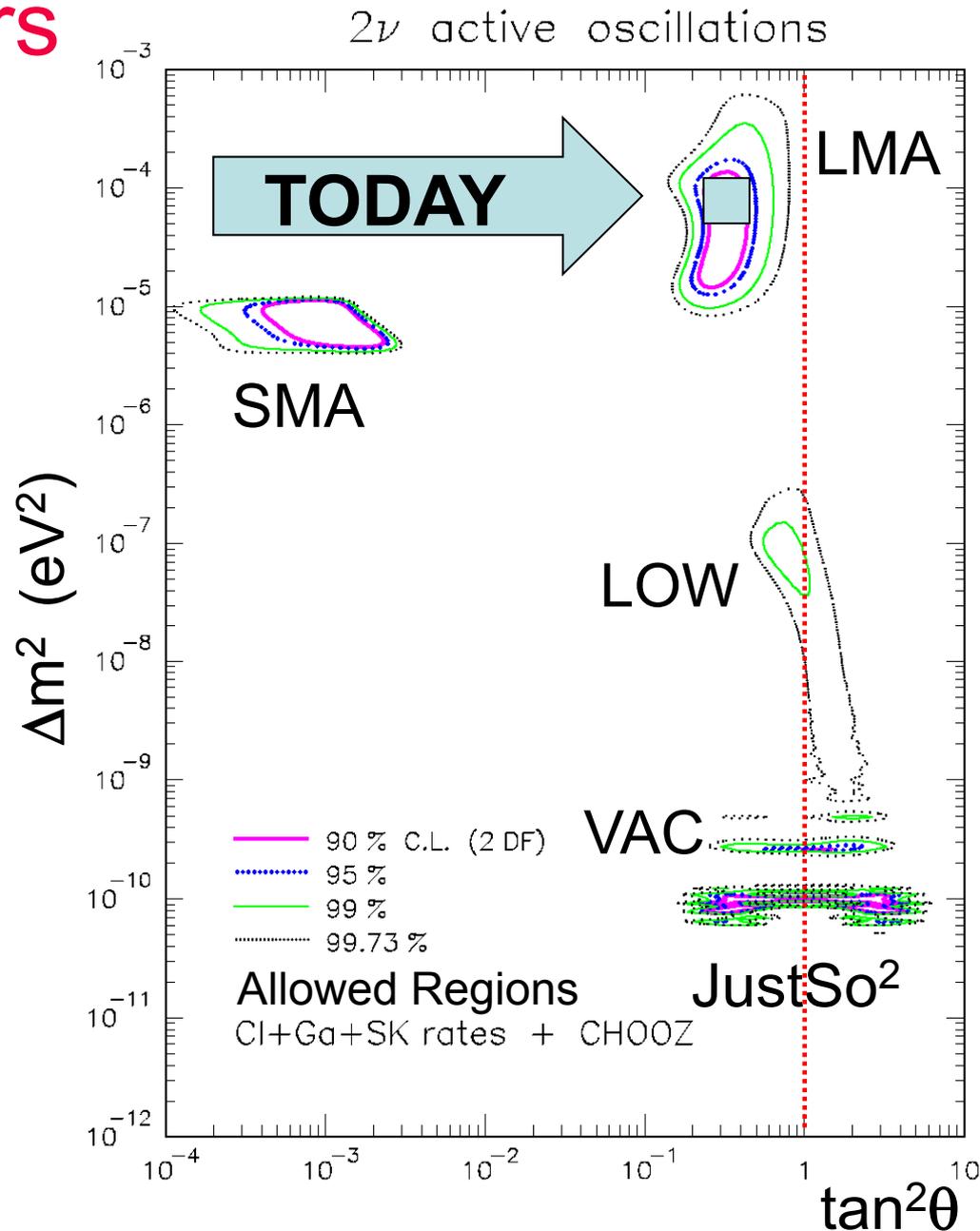
Combination of the  
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SK, and CHOOZ  
restricted the mixing  
parameters

**Pre SNO**

$$\Delta m^2 = \Delta m_{12}^2$$

$$\theta = \theta_{12}$$

$$\theta_{13} = 0$$



# Matter-Enhanced Neutrino Oscillations

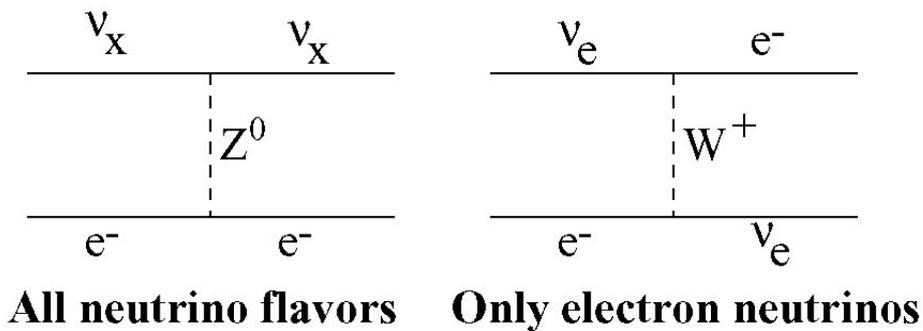
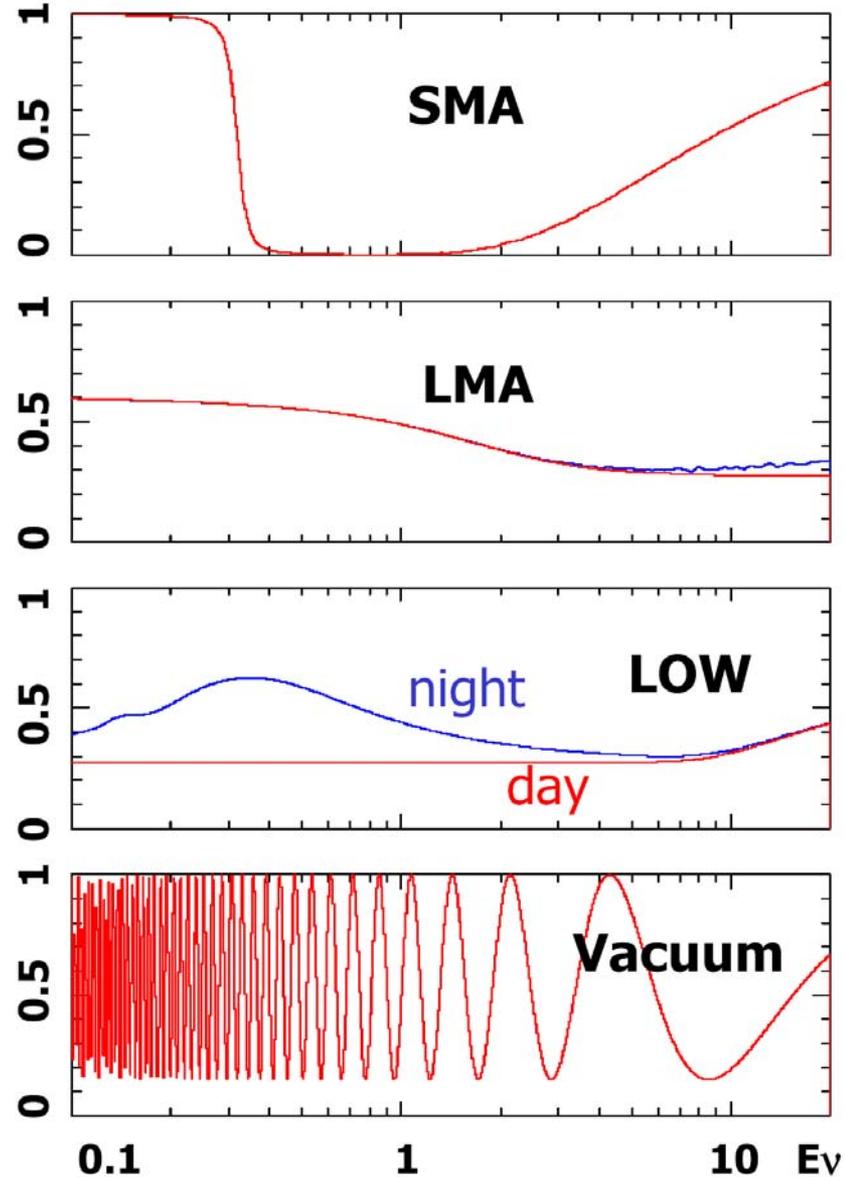
Neutrinos produced in weak state  $\nu_e$

⇒ High density of electrons in the Sun

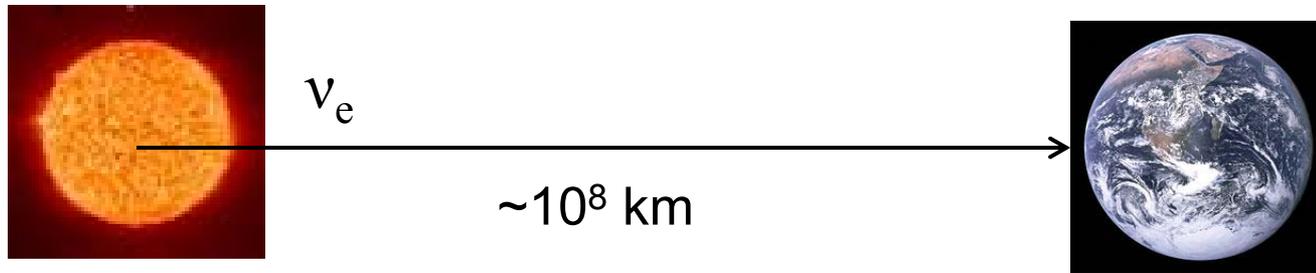
⇒ Superposition of mass states  $\nu_{1,2,3}$  changes through the MSW resonance effect

⇒ Solar neutrino flux detected on Earth consists of  $\nu_e + \nu_{\mu,\tau}$

$P_{ee}$



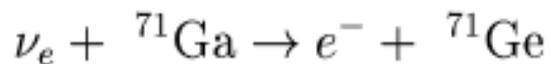
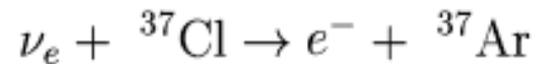
# Solar Neutrino Problem (Pre SNO)



Measured  $\neq$  Predicted

$\overline{P}_{ee}$   
↓

## Neutrino reactions

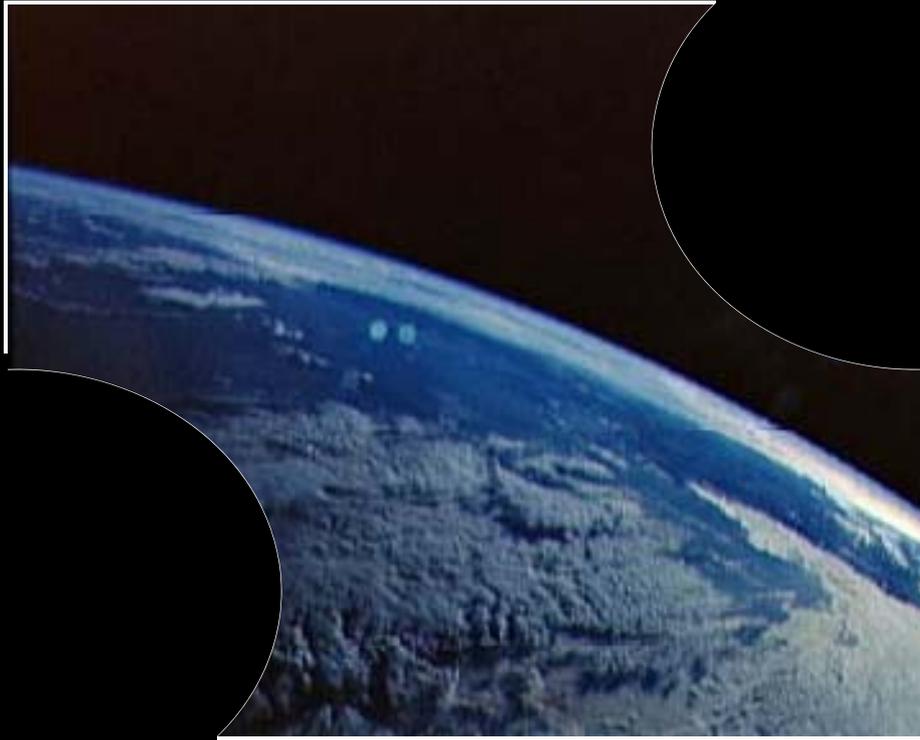


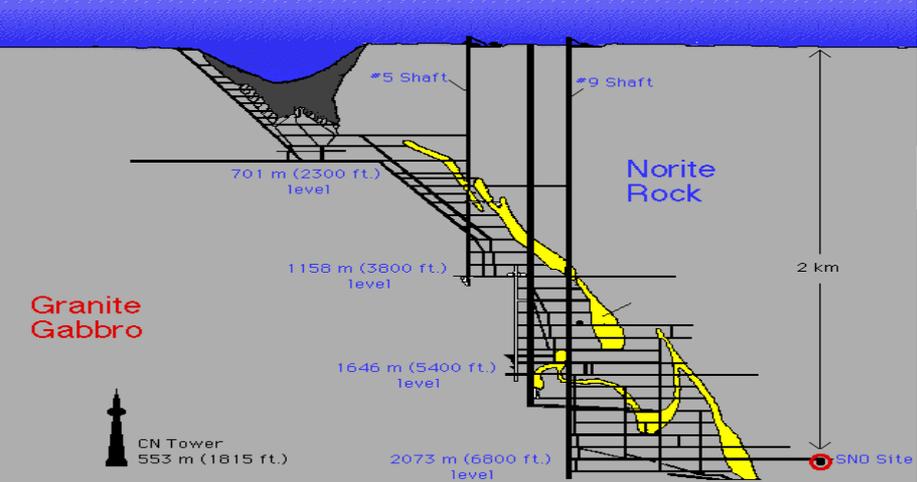
Experiment	Medium	Threshold (MeV)	Measured/SSM
<b>Homestake</b>	<b>Chlorine</b>	<b>0.814</b>	<b>0.34±0.03</b>
SAGE+GALLEX/GNO	<b>Gallium</b>	<b>0.2332</b>	<b>0.52±0.03</b>
<b>SuperK</b>	<b>H<sub>2</sub>O</b>	<b>7.0</b>	<b>0.406±0.013</b>

The Second Piece:

Observables

Sudbury Neutrino Observatory





# Sudbury Neutrino Observatory



6000 mwe  
overburden

1000 tonnes  $D_2O$

12 m Diameter  
Acrylic Vessel

1700 tonnes Inner  
Shield  $H_2O$

Support Structure  
for 9500 PMTs,  
60% coverage

5300 tonnes Outer  
Shield  $H_2O$

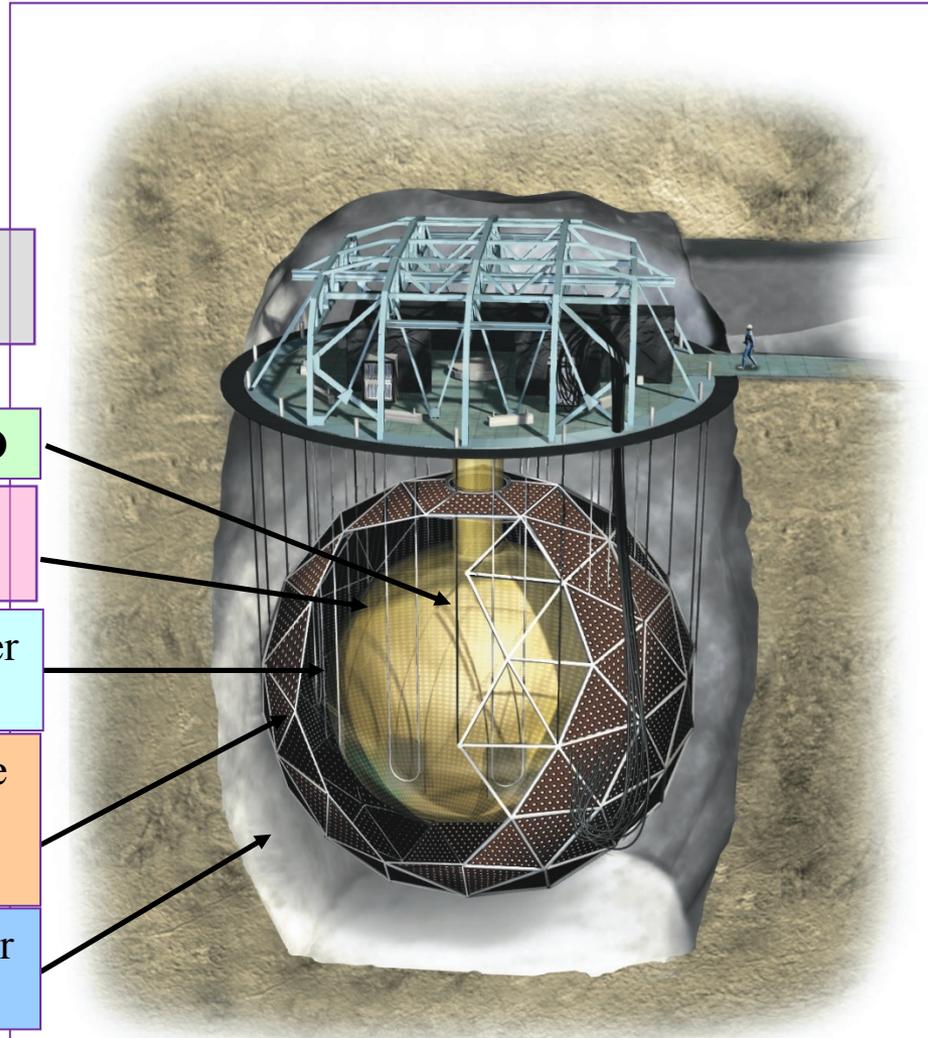
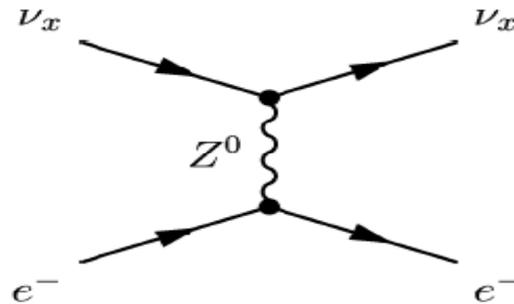


Image courtesy National Geographic

# Neutrino reactions within SNO

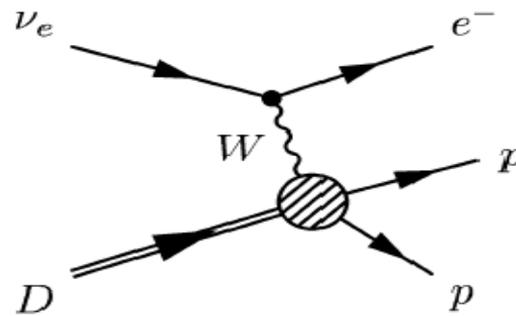
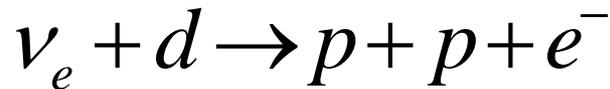


## Elastic-scattering (ES):



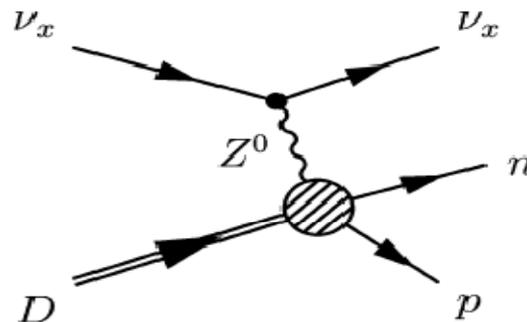
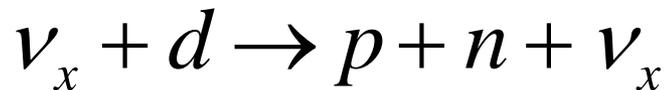
$\nu_e$  mainly  
strong  
directional  
sensitivity

## Charged-currents (CC):



$\nu_e$  only  
 $E_e$  well  
correlated  
with  $E_\nu$

## Neutral-currents (NC):



All flavors  
equally  
Total neutrino  
flux

Flavor change ?  $P_{ee}(E_\nu) \neq 1$  ?

$$\frac{\Phi_{CC}}{\Phi_{ES}} = \frac{\nu_e}{\nu_e + 0.154(\nu_\mu + \nu_\tau)}$$

$$\frac{\Phi_{CC}}{\Phi_{NC}} = \frac{\nu_e}{\nu_e + \nu_\mu + \nu_\tau}$$

# Three methods to detect neutrons



Phase I (D<sub>2</sub>O)  
Nov. 99 - May 01

Phase II (Salt+D<sub>2</sub>O)  
July 01 - Sep. 03

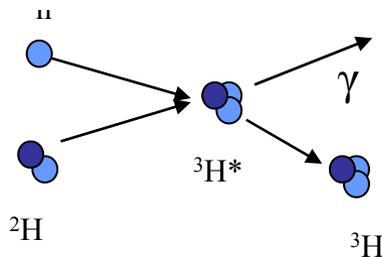
Phase III (<sup>3</sup>He+D<sub>2</sub>O)  
Nov. 04 - Nov. 06

n captures on Deuterium

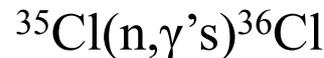


$$\sigma = 0.0005\text{b}$$

6.25 MeV single  $\gamma$   
PMT array readout

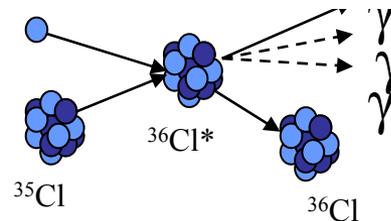


2 tons of NaCl added  
n captures on Chlorine



$$\sigma = 44\text{b}$$

8.6 MeV multiple  $\gamma$ s  
PMT array readout

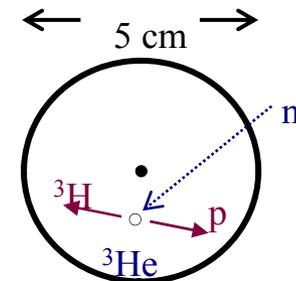


n captures on <sup>3</sup>He



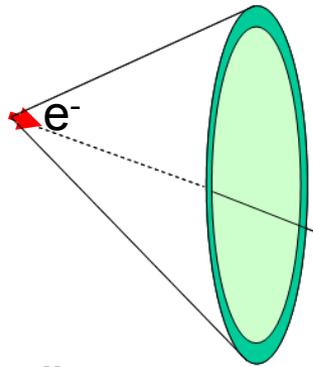
$$\sigma = 5330\text{b}$$

0.764 MeV (p, <sup>3</sup>H)  
NCD readout



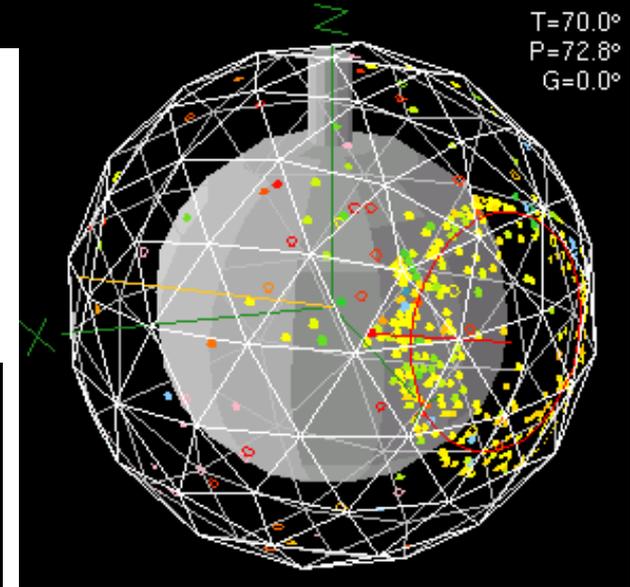
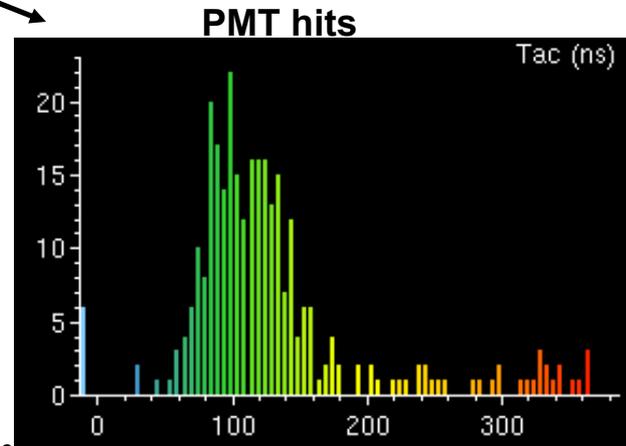
# Neutrino Detection

PMT array measurement:



Čerenkov photons

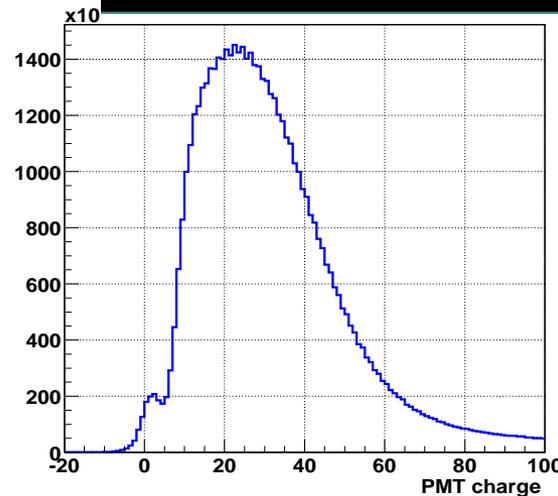
Position  
Time  
Charge



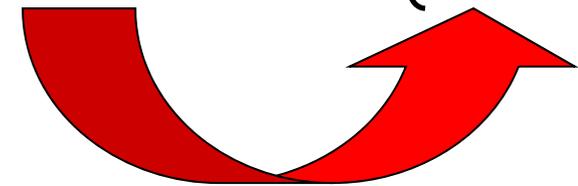
$e^-$  from CC or ES reaction

Compton-scattered

$e^-$  of  $\gamma$ 's from  $n$ -capture from NC reaction



vertex  
direction  
energy

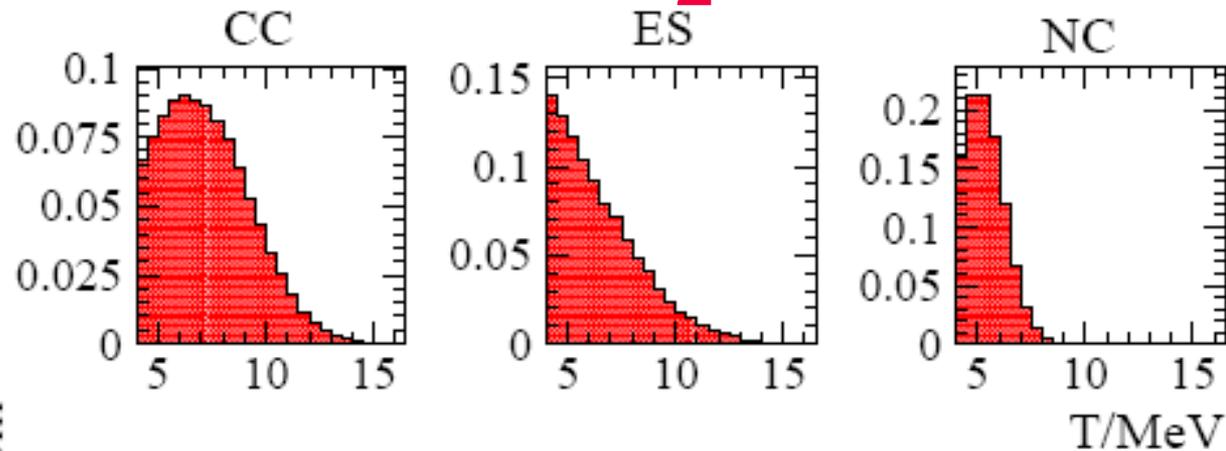


Pattern Recognition

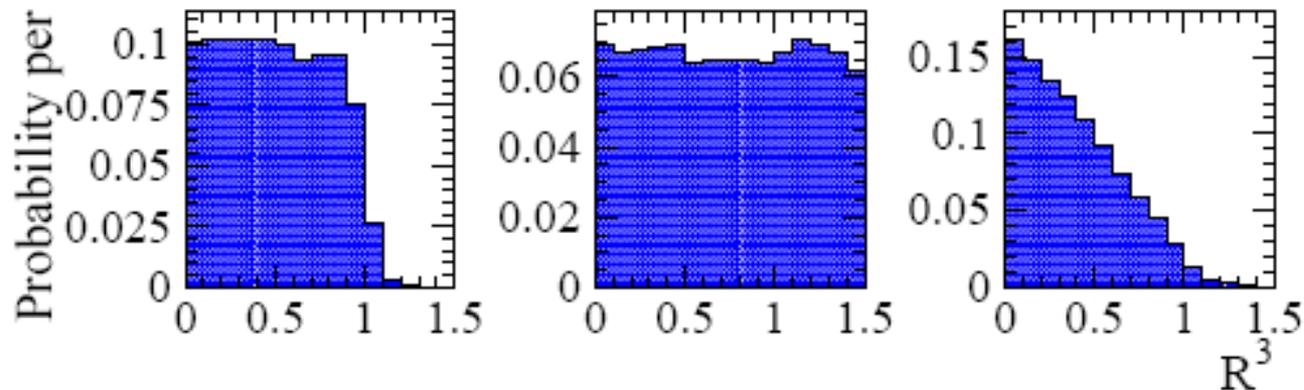
# Neutrino Observables D<sub>2</sub>O Phase



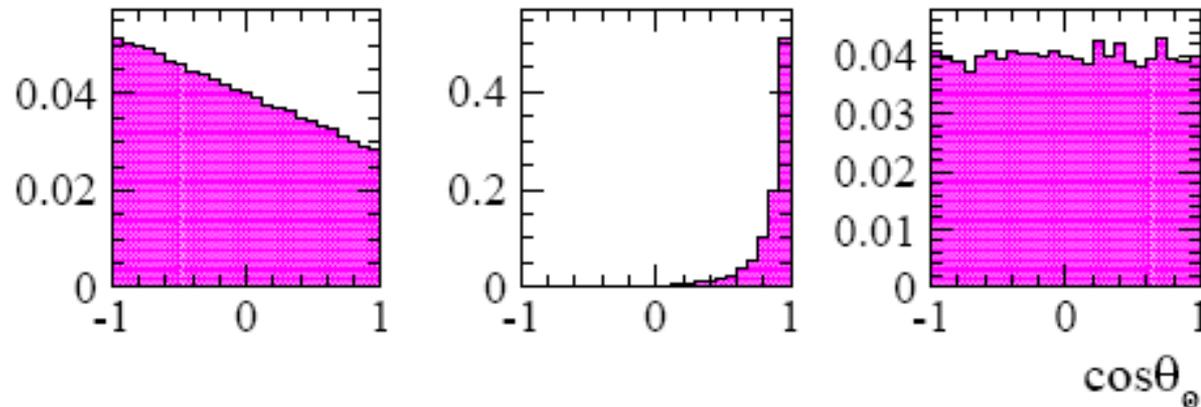
Kinetic Energy  
Distribution



Radial  
Distribution  
( $R^3$ ,  $R_{AV}=1$ )



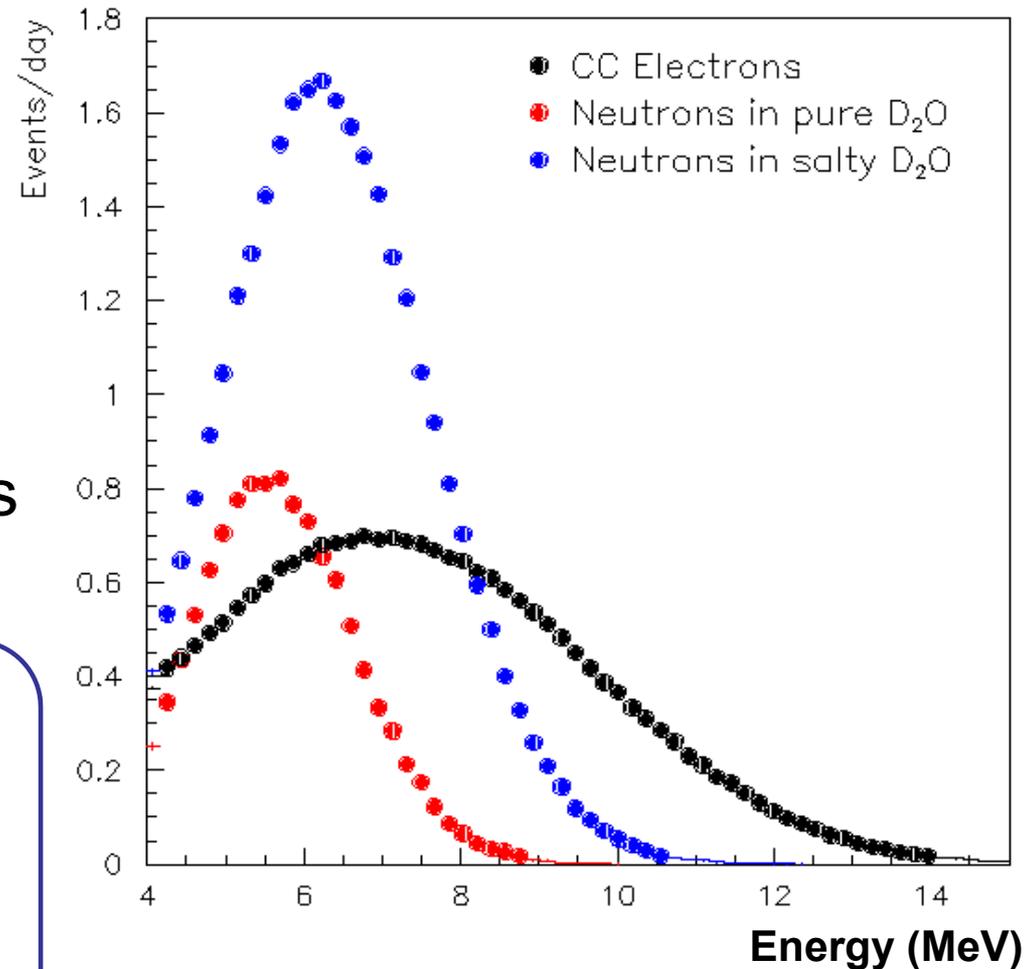
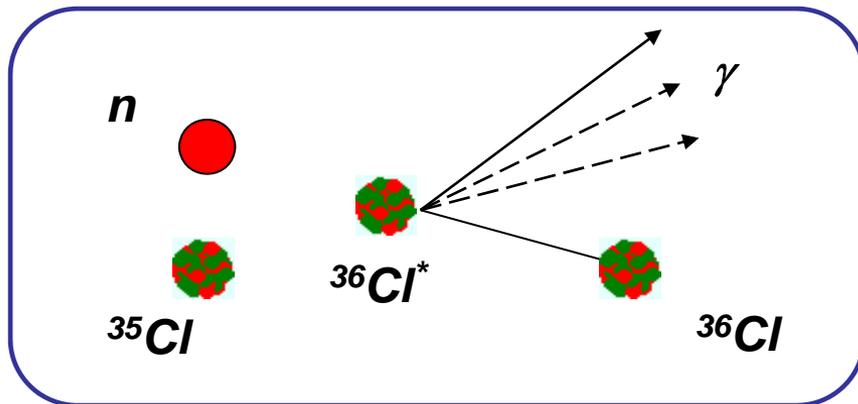
Solar  
Direction  
Distribution



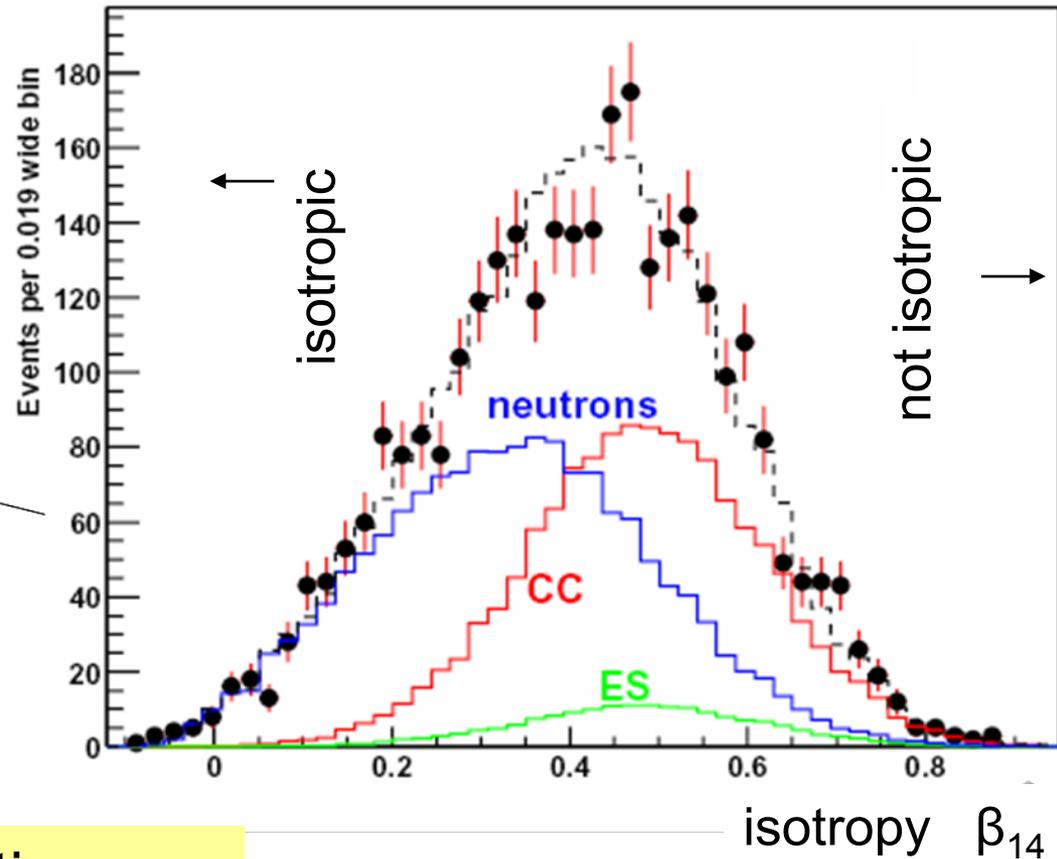
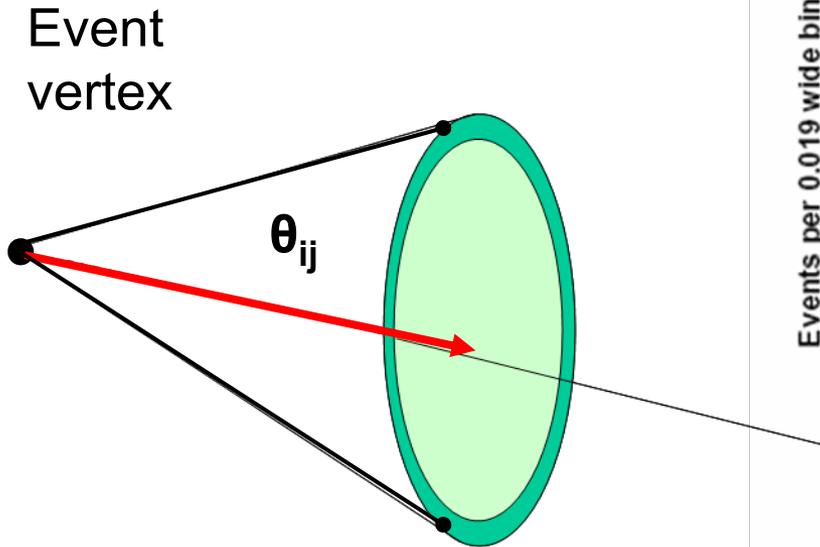
# Advantages of Salt: more sensitive



- Neutrons capturing on  $^{35}\text{Cl}$  provide higher neutron energy above threshold.
- Higher capture efficiency
- Gamma cascade changes the angular profile.



# Advantages of salt: event isotropy



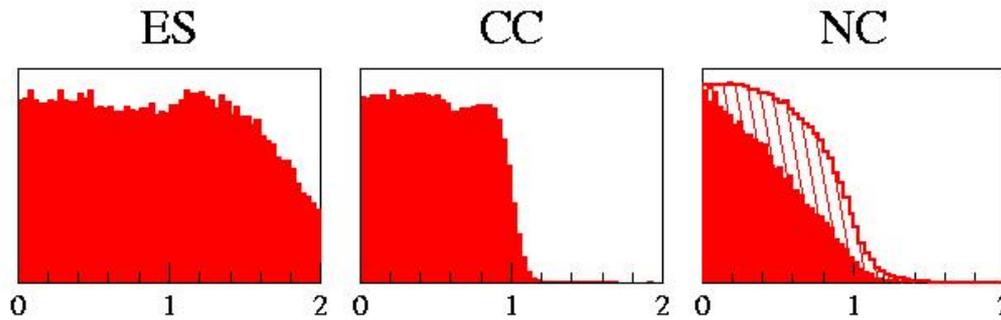
Isotropy variable,  $\beta_{14}$ , function of angles between each pair of hit PMTs ( $\theta_{ij}$ ) in event [similar to *thrust* in collider physics]

$\beta_{14}$  powerful discriminating variable between NC and CC/ES events

# Neutrino Observables Salt Phase

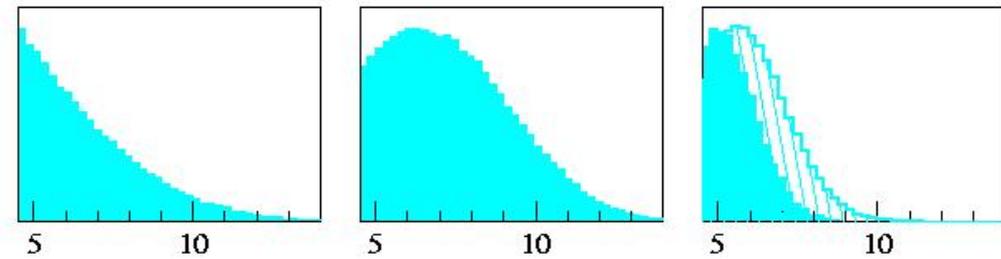


Radial  
Distribution  
( $R^3$ ,  $R_{AV}=1$ )



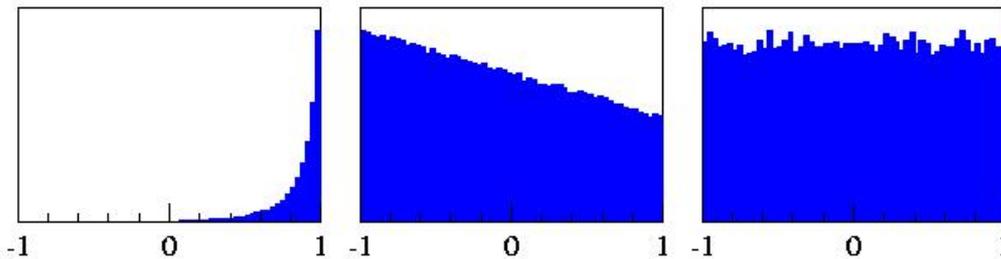
NC changed  
due to larger  $\sigma_{n\gamma}$

Energy  
Distribution  
(MeV)



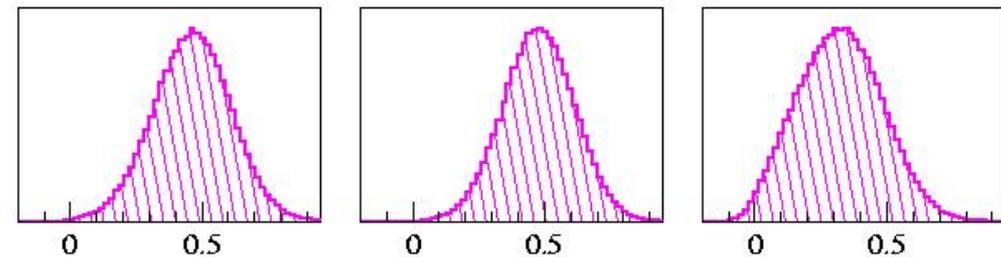
NC shifted to  
higher energy

Solar  
Direction  
Distribution



Unchanged

Isotropy  
Distribution



All new due  
to multiple  $\gamma$ 's

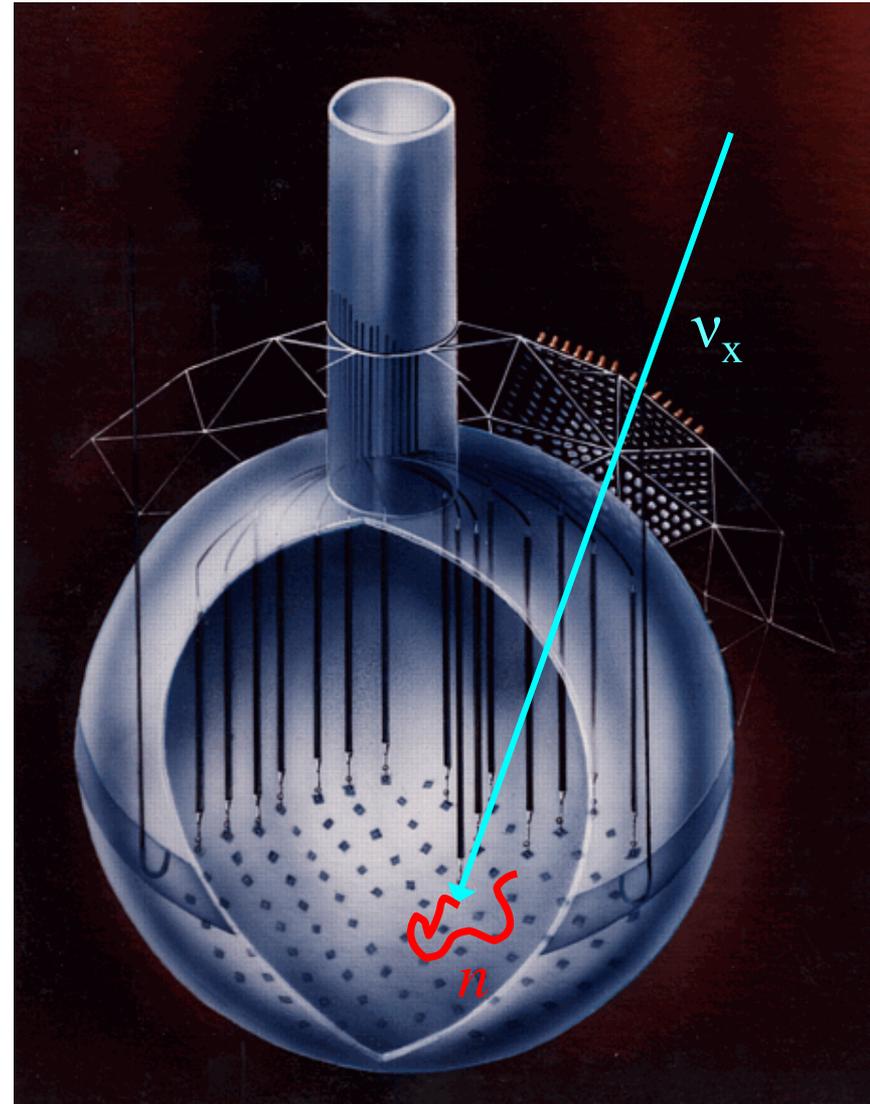
## $^3\text{He}$ Proportional Counters (NCD)

### Physics Motivation

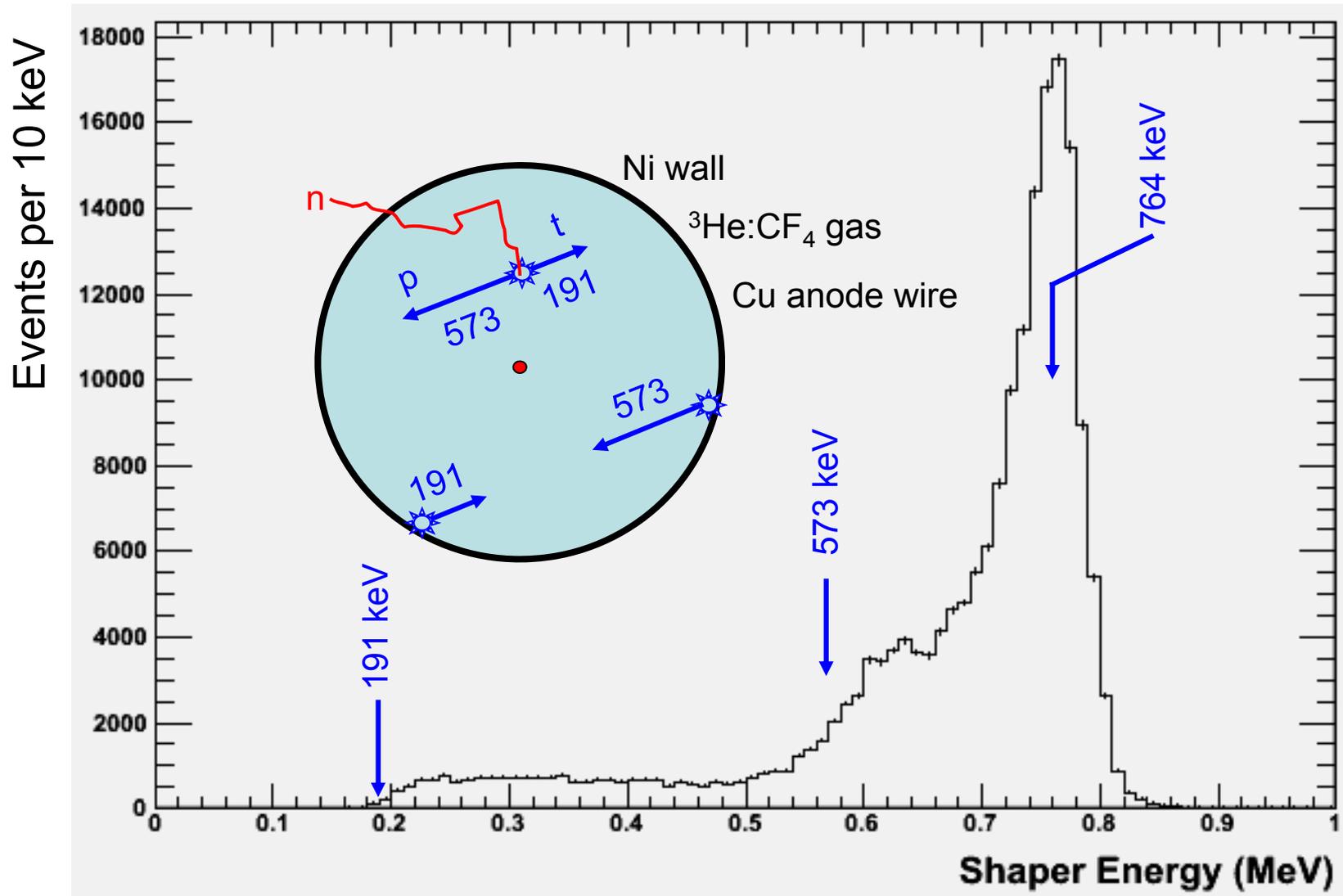
#### Event-by-event separation.

Measure NC and CC in separate data streams – break the statistical correlation

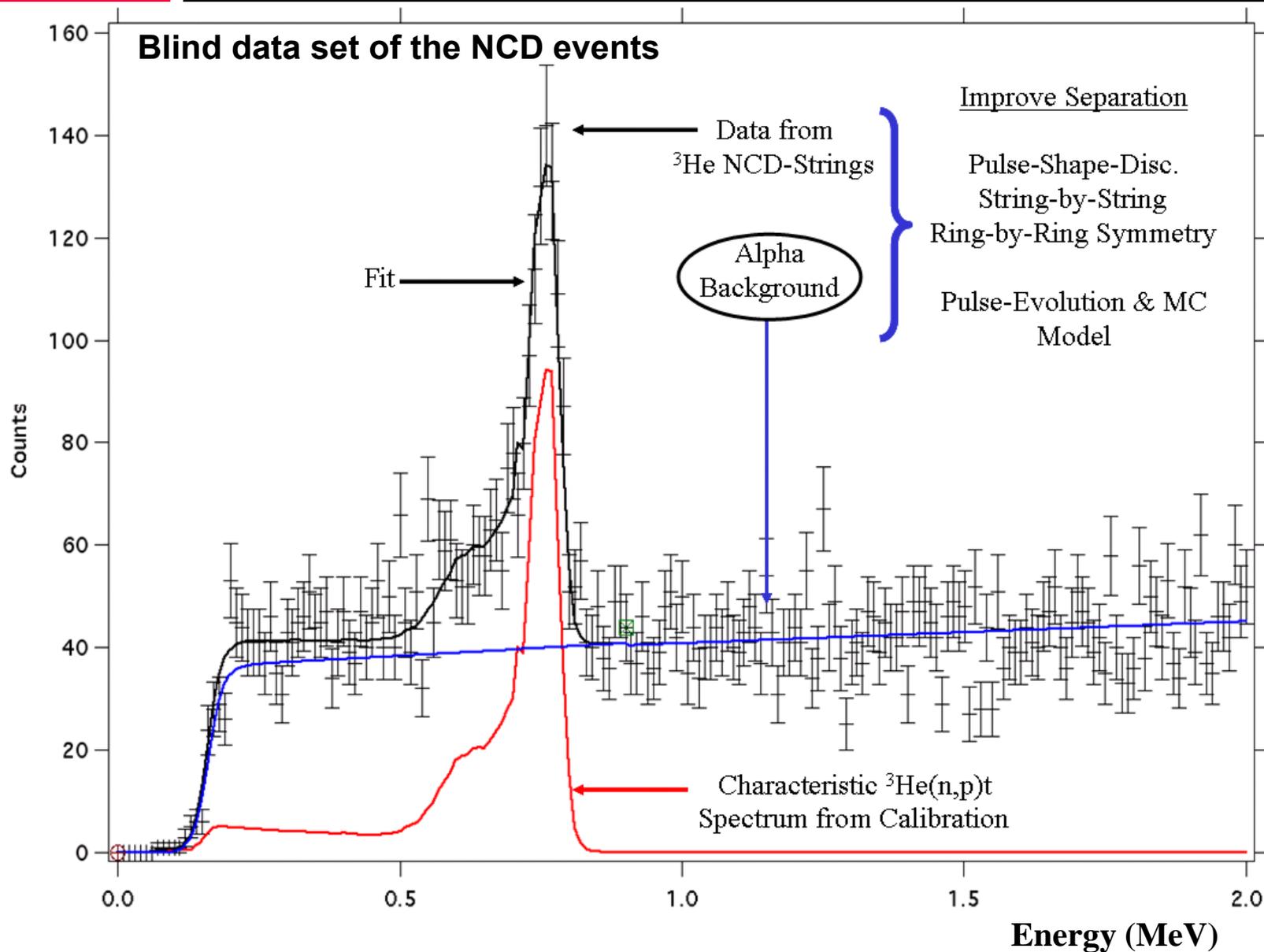
**Different systematic uncertainties** than neutron capture on deuteron or NaCl



# Neutrino Observable NCD Phase



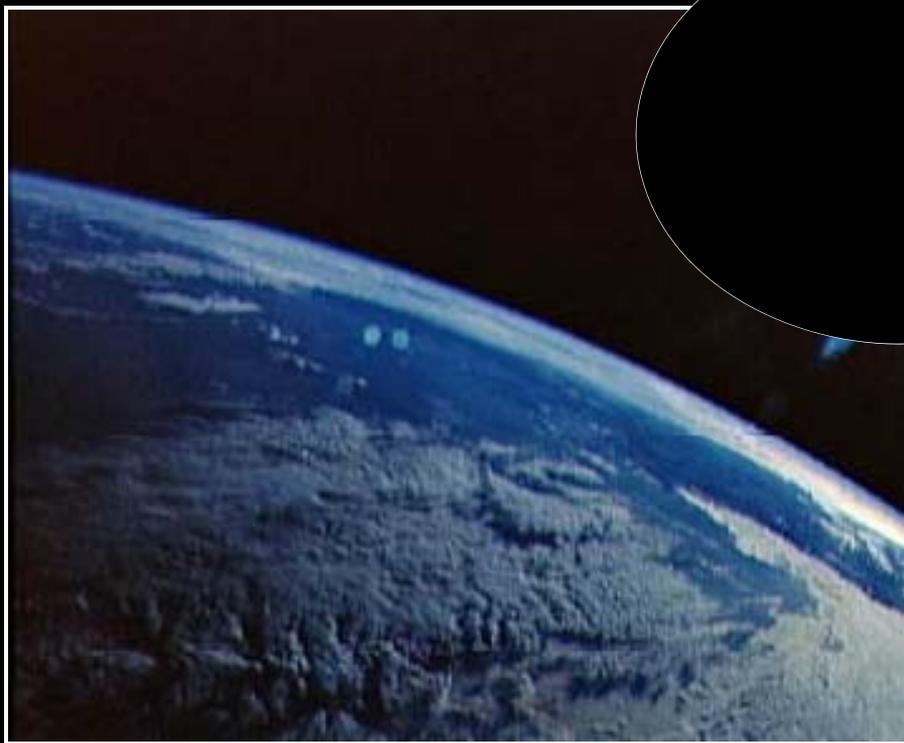
# SNO Phase III with NCD's



## Arranging the Pieces:

### Solar Neutrino From the Sun

- Simultaneous fit of all the phases
- Lower energy threshold for the D<sub>2</sub>O and Salt phases
- CC and ES spectrum for the NCD phase
- Consistently fit for  $P_{ee}$  with no model assumption

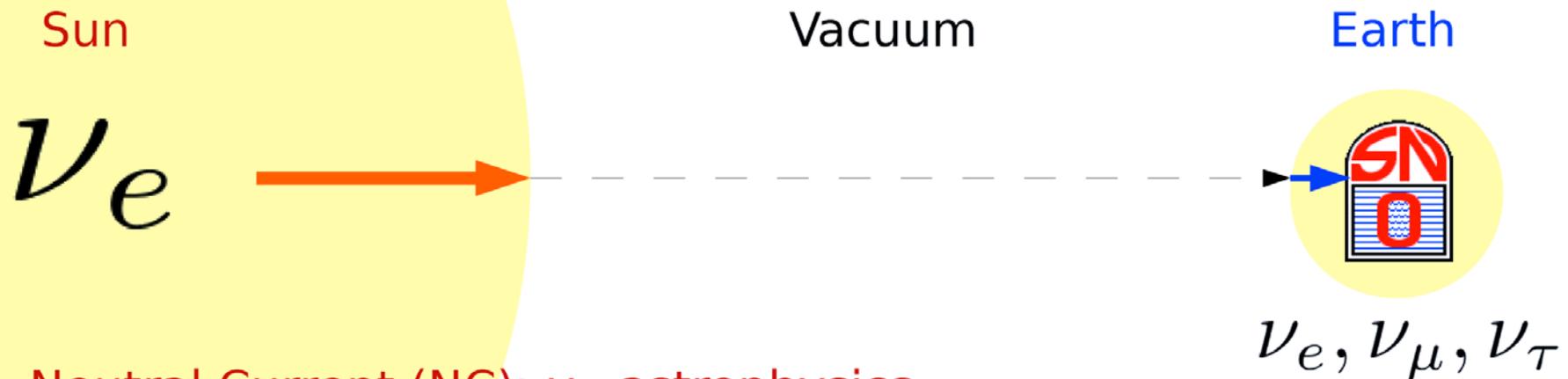


# Update – improvement – new stuff

- Tune up the Monte Carlo on calibration data based on 5 years of operational experience
- Improve optics (especially at large radii)
- New energy estimator (improve energy resolution by 6%)
- Reduced the energy thresholds  
D<sub>2</sub>O (5 → 3.5 MeV) and Salt (5.5 → 3.5 MeV)  
Improve CC statistics by ~40% & NC by ~70%  
Allow to fit the background wall
- Investigate Pulse Shape Discrimination NCD
- Implement signal extraction to permit simultaneous 3-phase fitting which propagate all the systematic uncertainty to likelihood space

# What SNO is all About

SNO is a unique opportunity to study both particle physics and astrophysics



Neutral Current (NC):  $\nu_x$ , astrophysics.

Measurement of the total rate of solar neutrinos.

Solar neutrino flux, understanding of stars, nuclear fusion rates...

Charged Current (CC):  $\nu_e$ , particle physics.

Measurement of the survival probability of electron neutrinos.

Weak interactions, lepton flavor conversion, neutrino mass...

# What SNO is all About

SNO performs a combined analysis of the 3 phases with lower threshold

CC/NC: shown to be different than 1.0  
Proof of oscillations, neutrino mass, new physics...

CC/NC: turn into measurement of the survival probability  
Experimentally, function of neutrino energy.

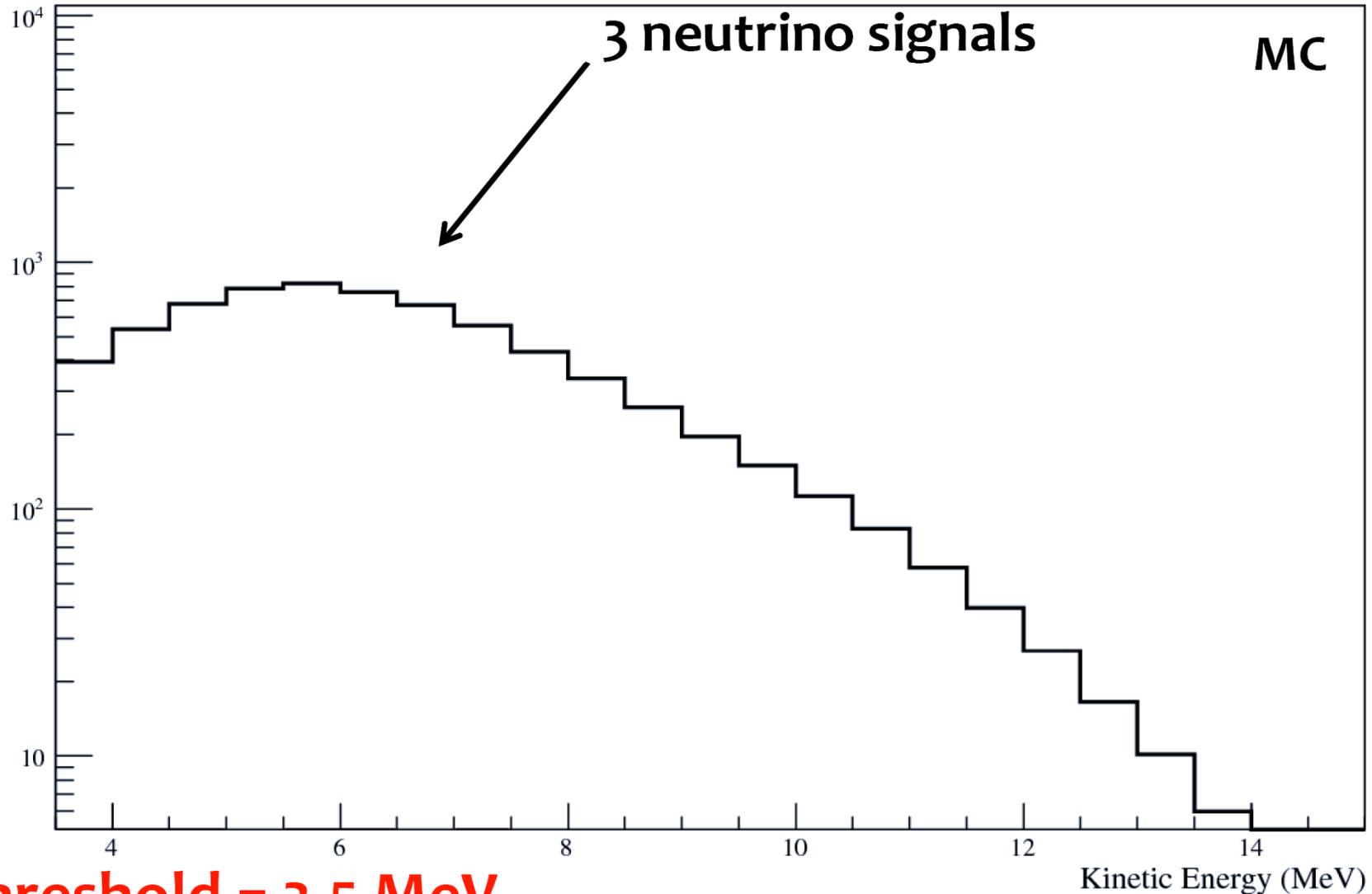
$$P_{\nu_e \rightarrow \nu_e} \equiv P_{ee}$$

$$\frac{dCC}{dT} = \int \underline{P_{ee}(E_\nu)} \frac{d\Phi(\nu_e)}{dE_\nu} dE_\nu \int \frac{d\sigma}{dT_e}(E_\nu, T_e) \frac{dR}{dT}(T_e, T) dT_e$$

$P_{ee}$ : use the measurement to understand its functional form.  
Phenomenological study of the neutrino oscillation parameters.

# Going A Step Further (Down)

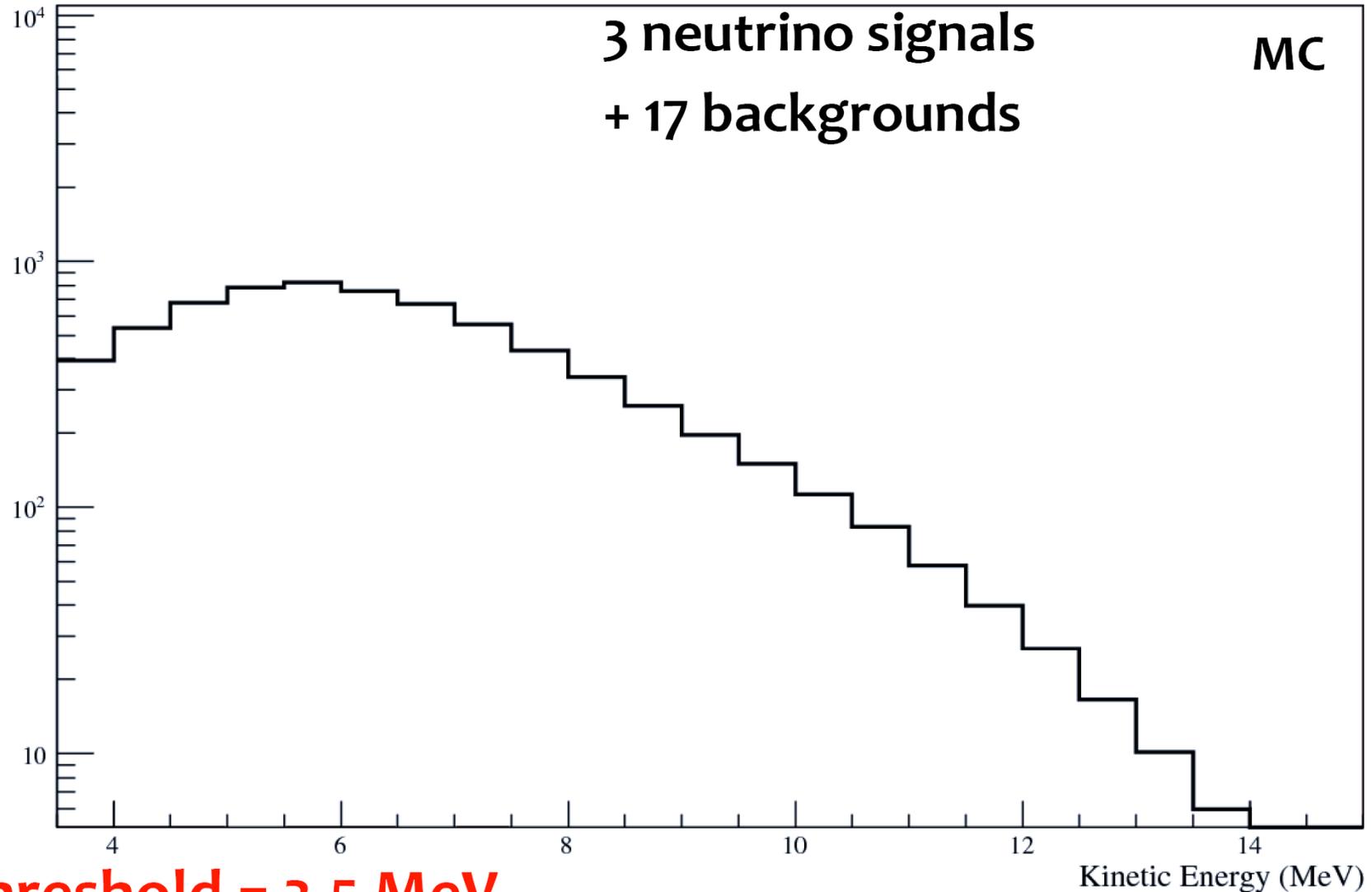
Kinetic Energy Spectrum



**Threshold = 3.5 MeV**

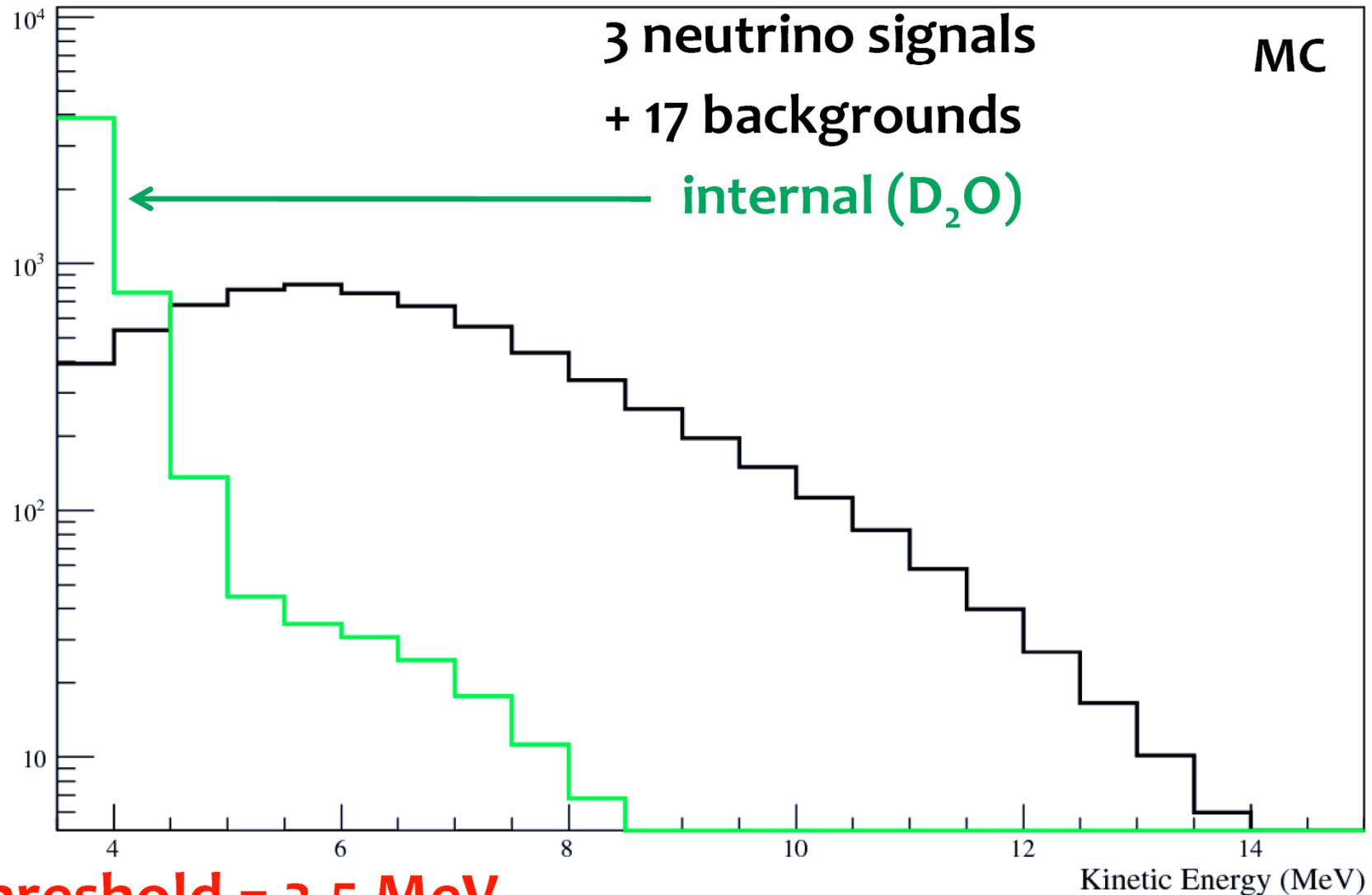
# Going A Step Further (Down)

## Kinetic Energy Spectrum



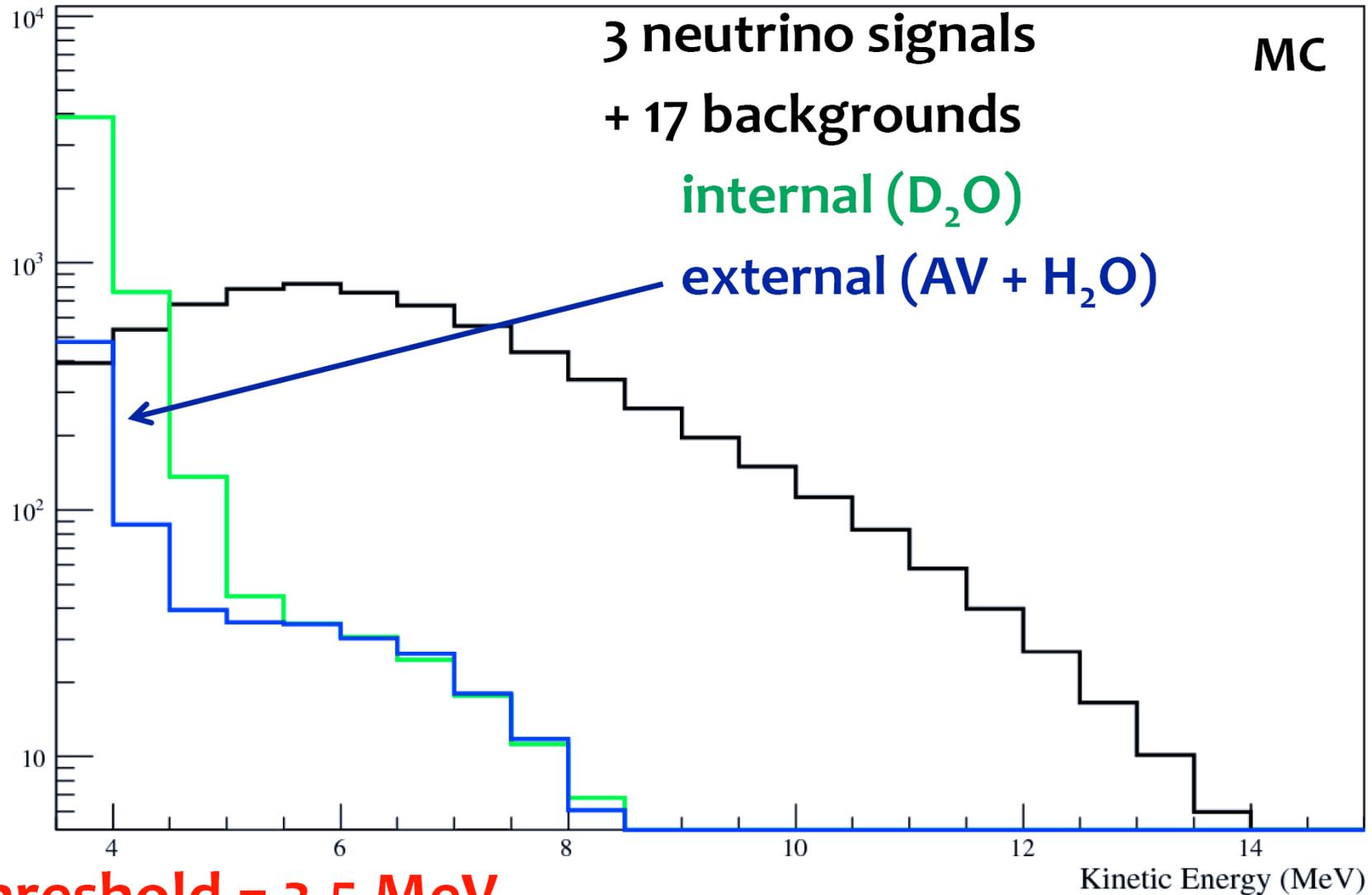
# Going A Step Further (Down)

## Kinetic Energy Spectrum



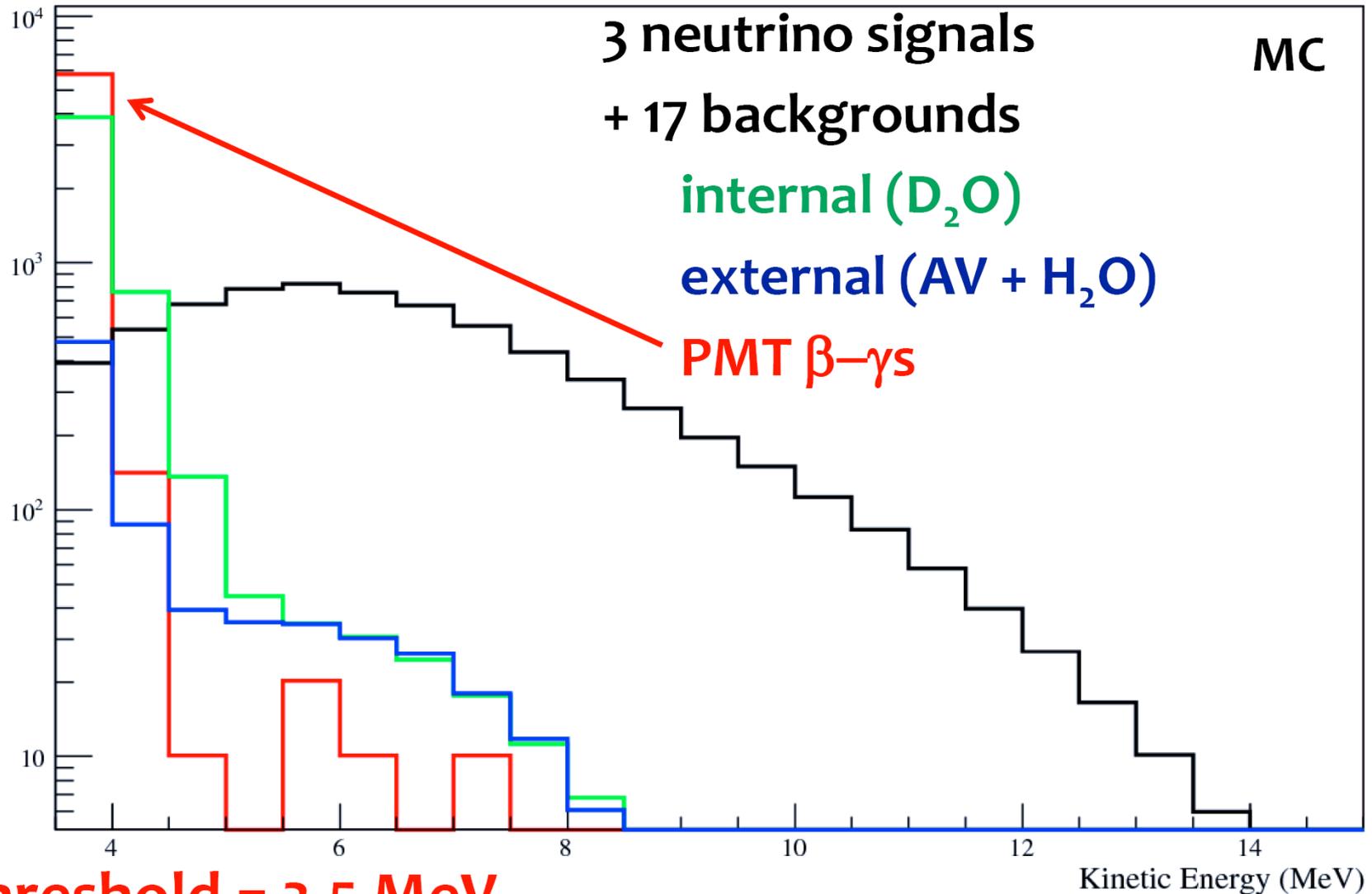
# Going A Step Further (Down)

## Kinetic Energy Spectrum



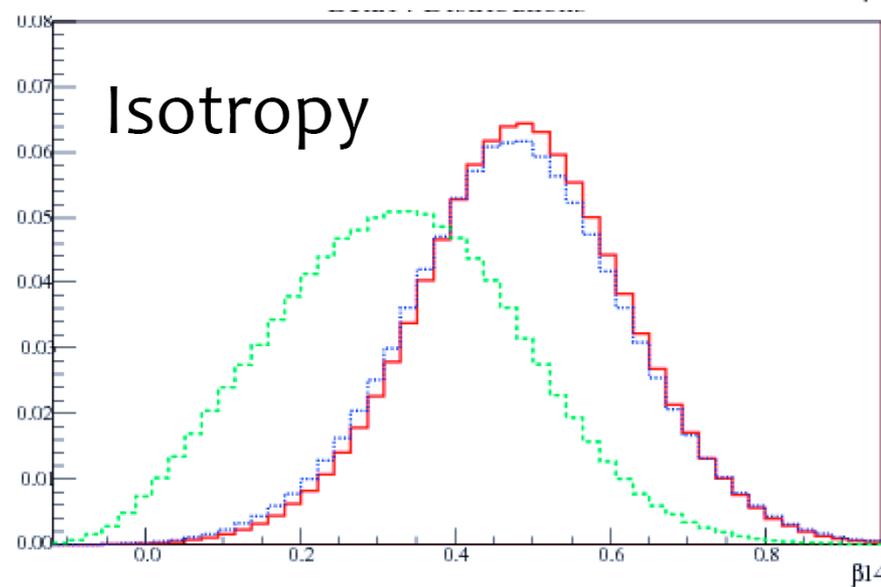
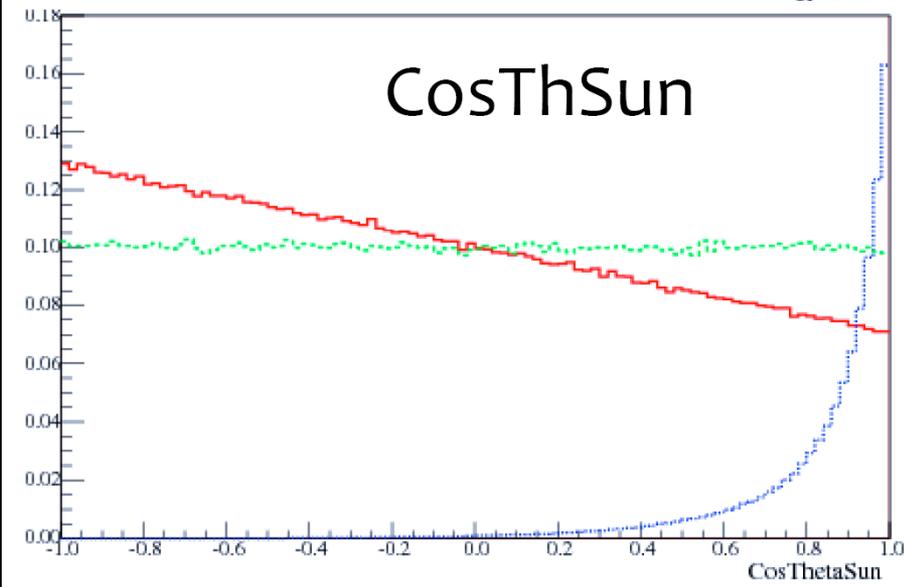
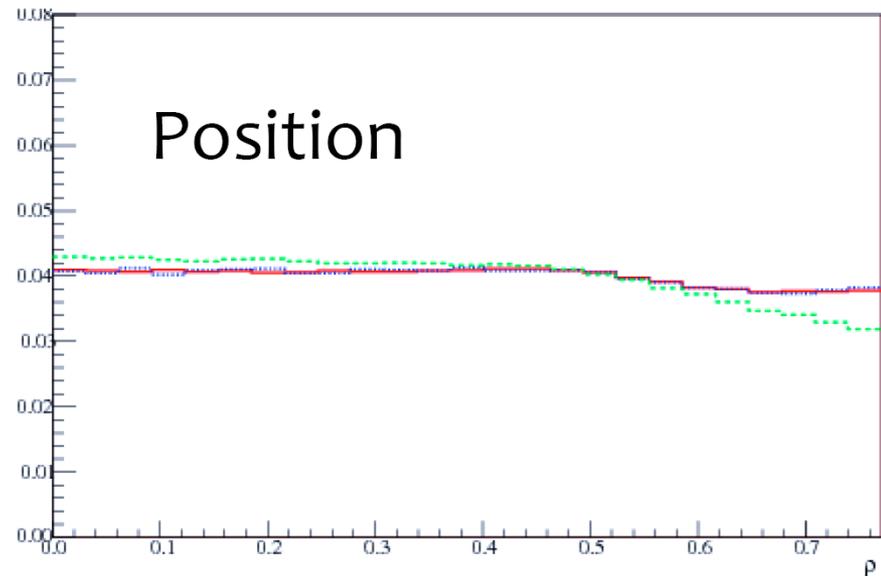
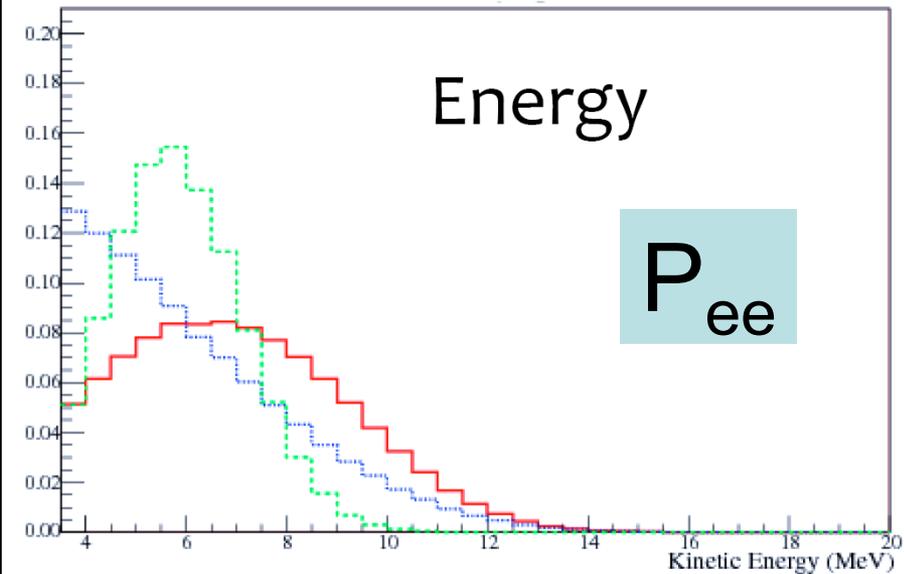
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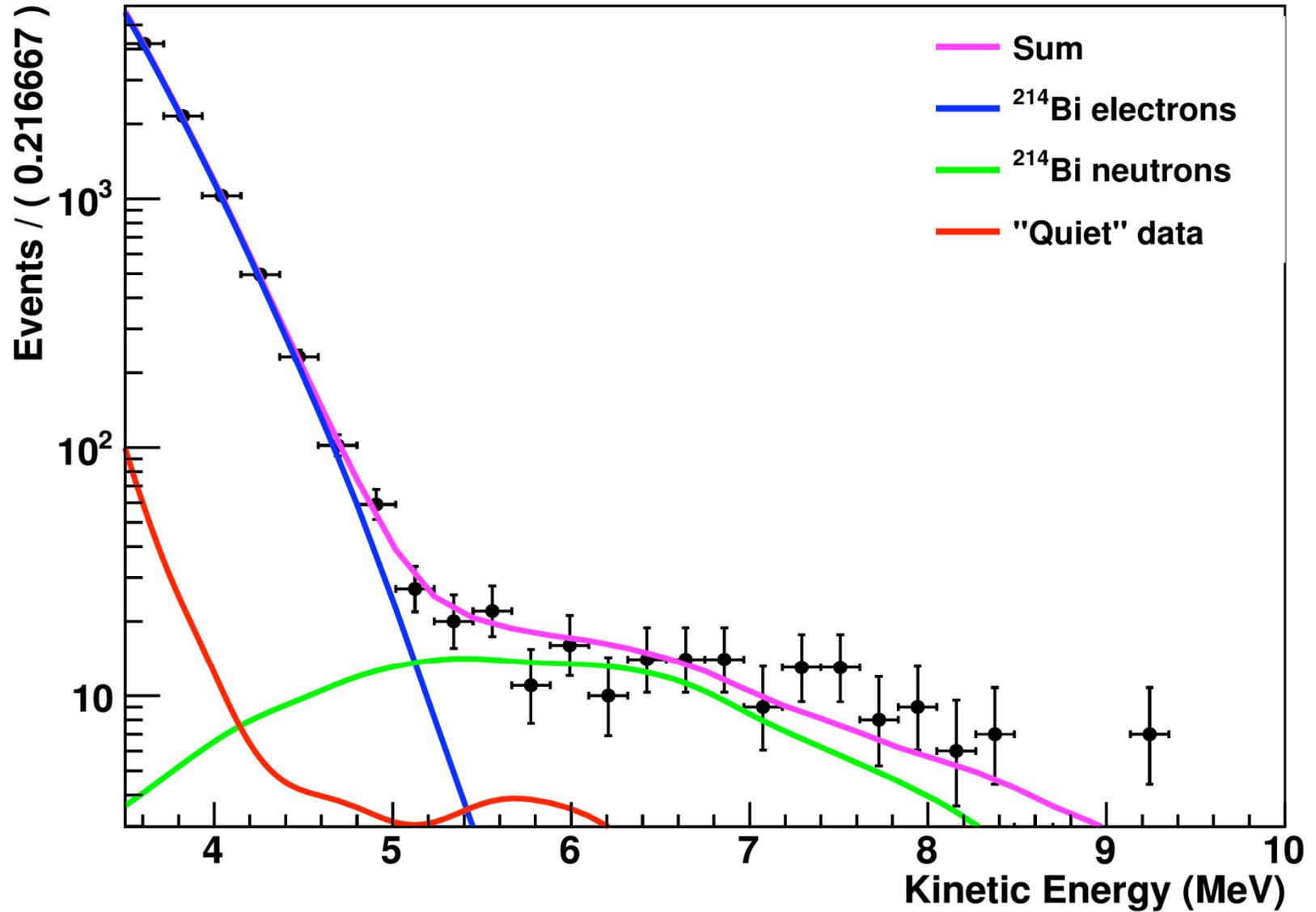


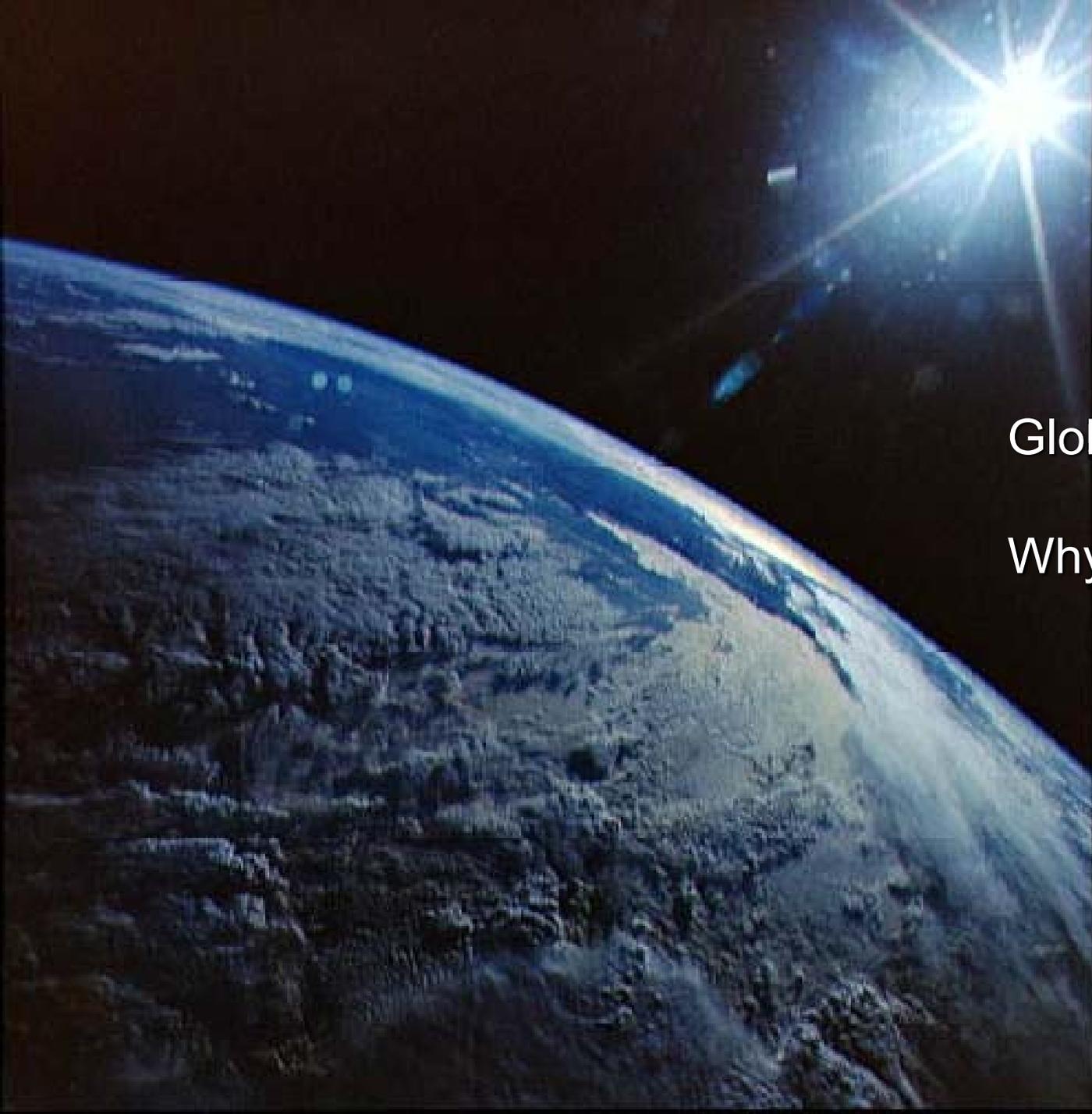
# Approach

- Charged Current (CC)
- Elastic Scattering (ES)
- Neutral Current (NC)



# Rn Spike

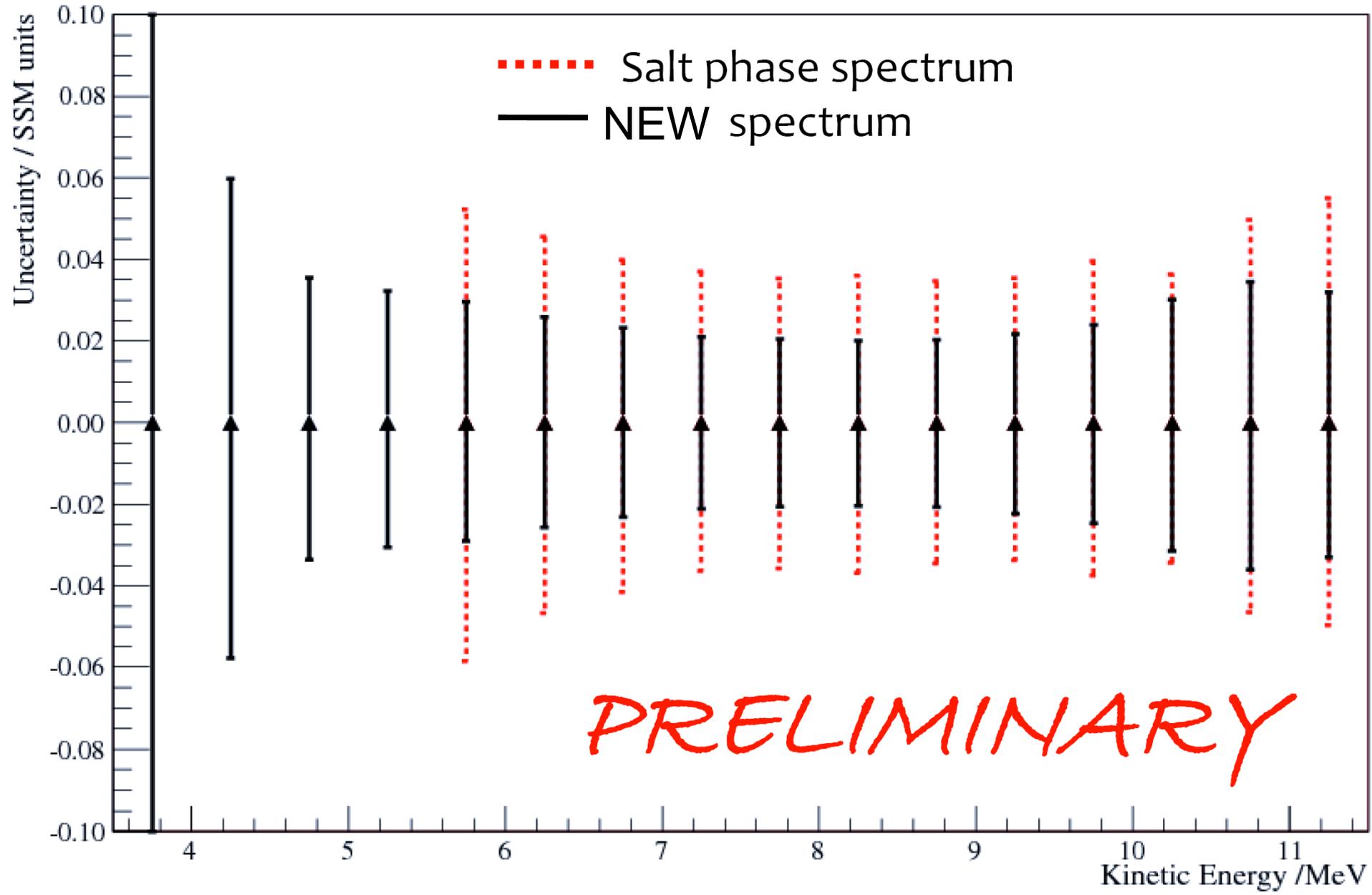




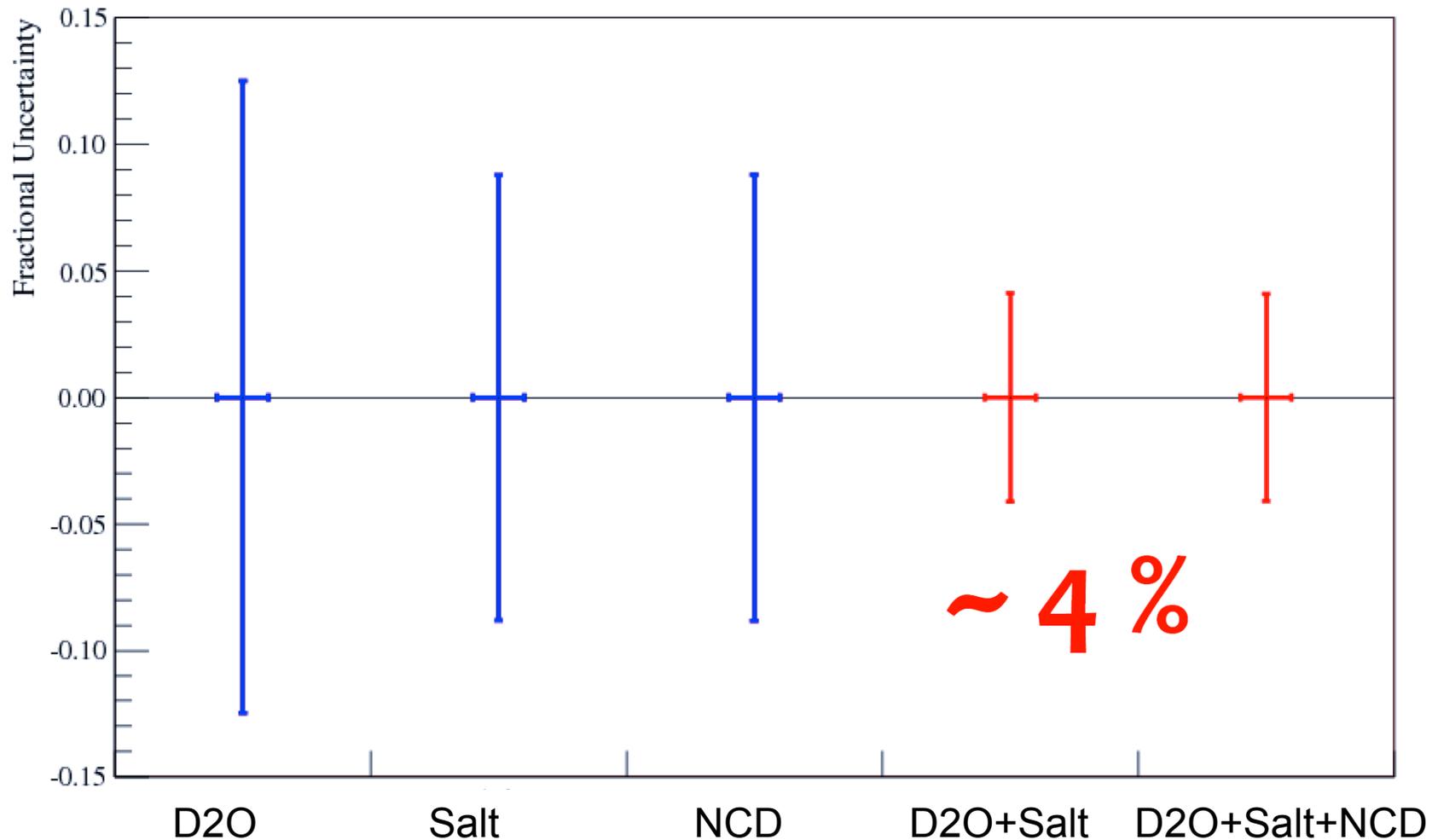
Global View:

Why !?

# CC Spectrum Uncertainties



# NC Flux Uncertainties

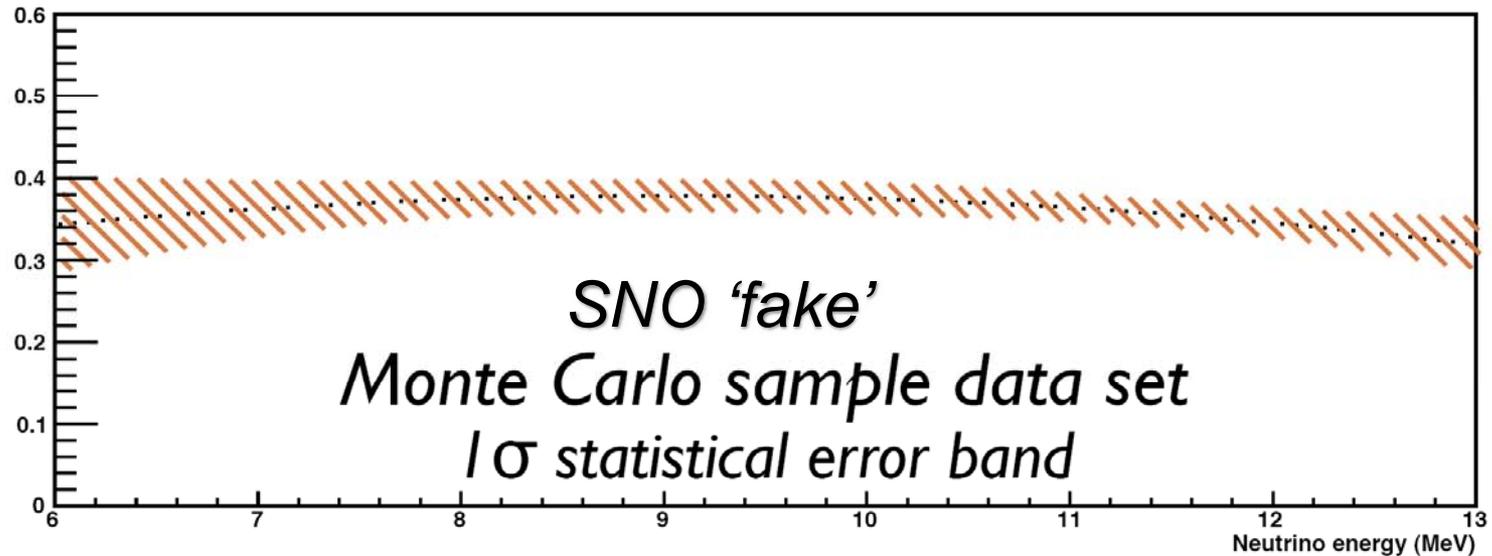


*PRELIMINARY*

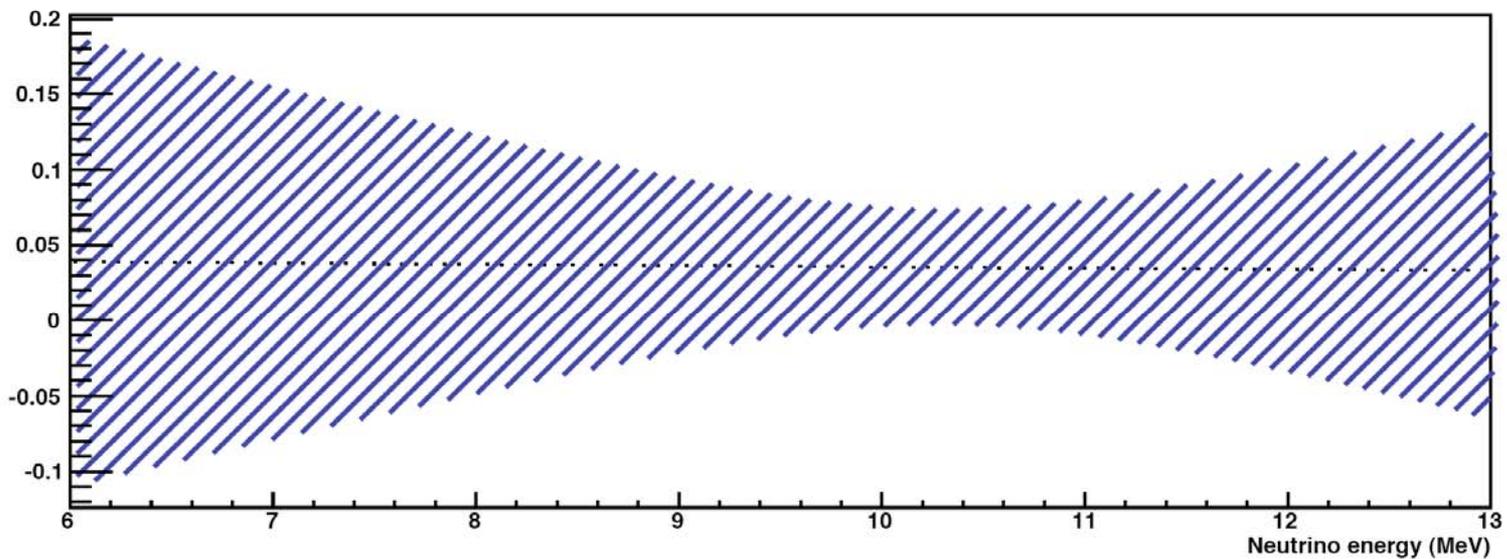
$P_{ee}$ 

# Polynomial Survival Probability

Survival Prob



Asymmetry



# Global Fit

- 7 experiments, ~150 observables, ~80 systematics and 1 common model (8 fluxes, 21 systematics).

**Borexino (Italy):**

1 flux, 1 obs., 1 syst.

**GALLEX/GNO (Italy):**

8 fluxes, 1 obs., 1 syst.

**SAGE (Russia):**

8 fluxes, 1 obs., 1 syst.

**Super-Kamiokande (Japan):**

2 fluxes, 77 obs., 30 syst.

**KamLAND (Japan):**

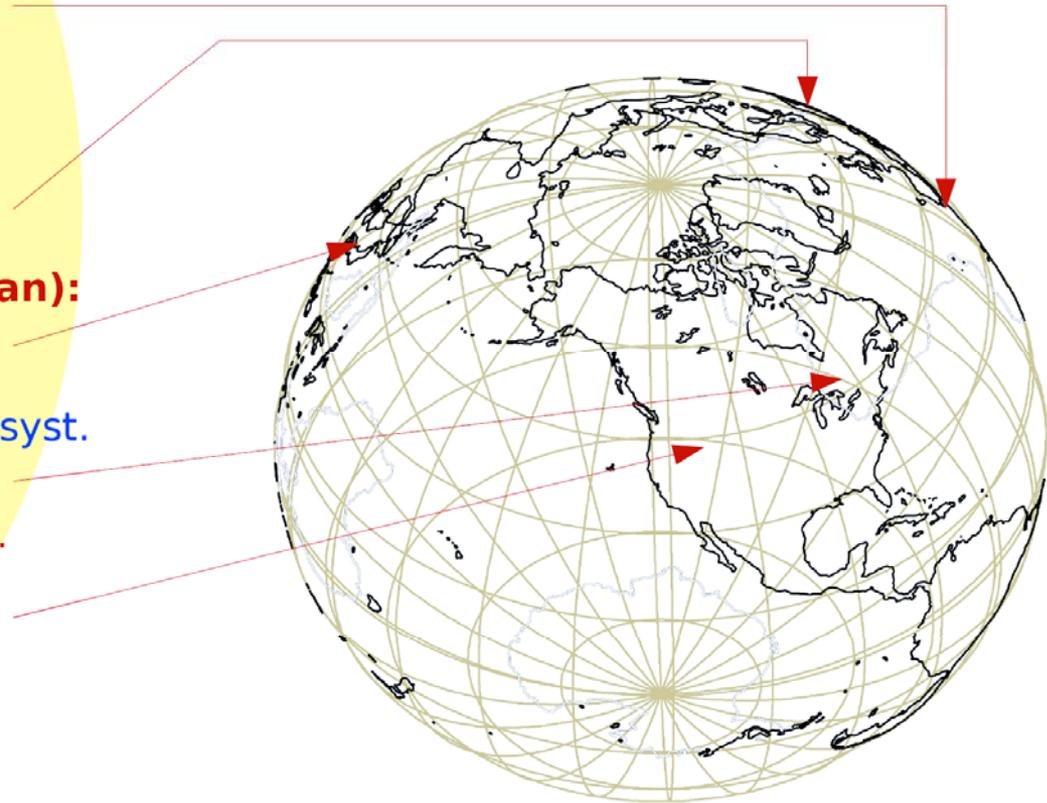
0 flux (reactor), 16 obs., 4 syst.

**SNO (Canada):**

2 fluxes, 69 obs., >40 syst.

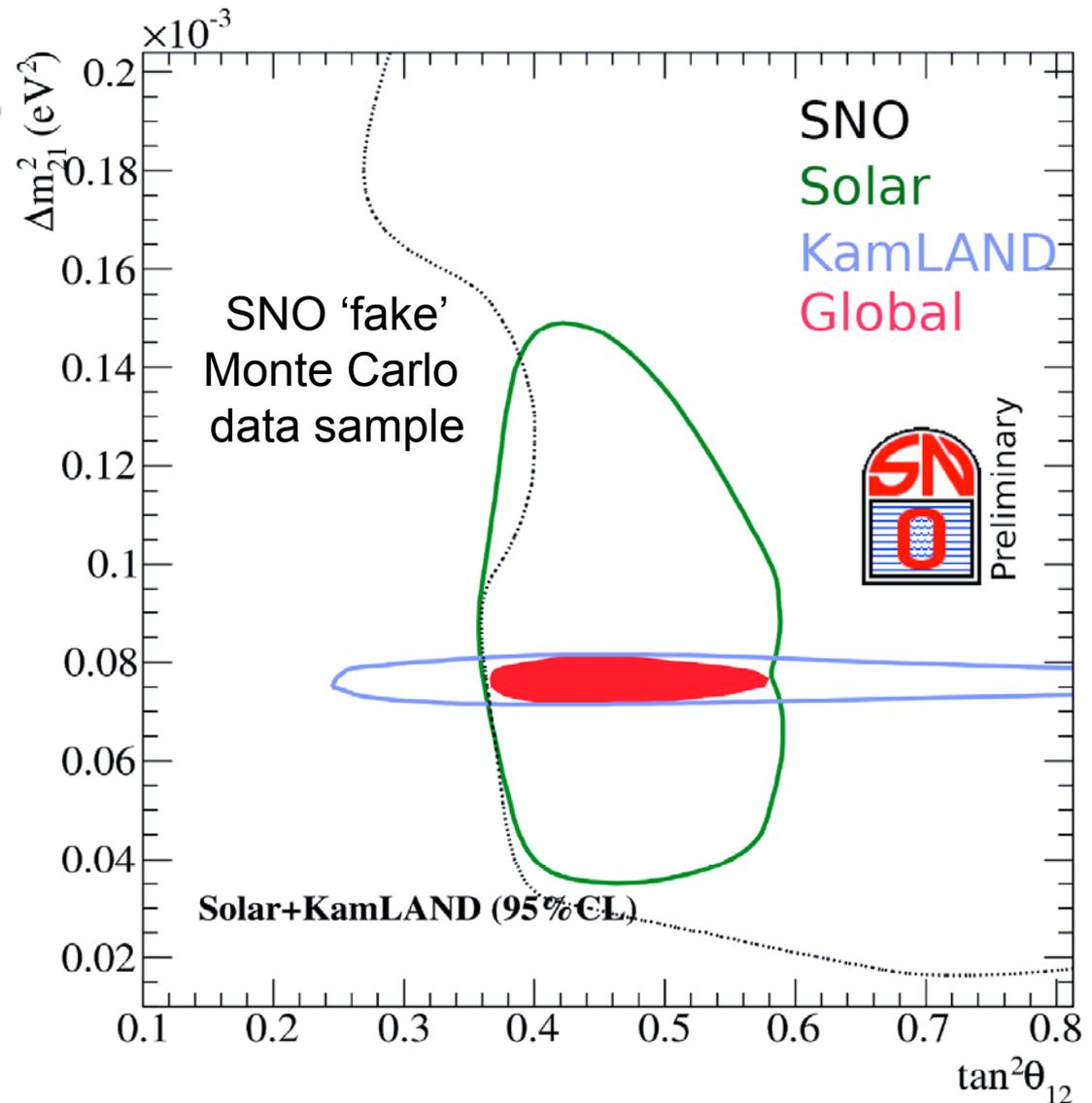
**Homestake (USA):**

7 fluxes, 1 obs., 1 syst.



# Leading Effect: $\tan^2 \theta_{12}$ , $\Delta m_{21}^2$

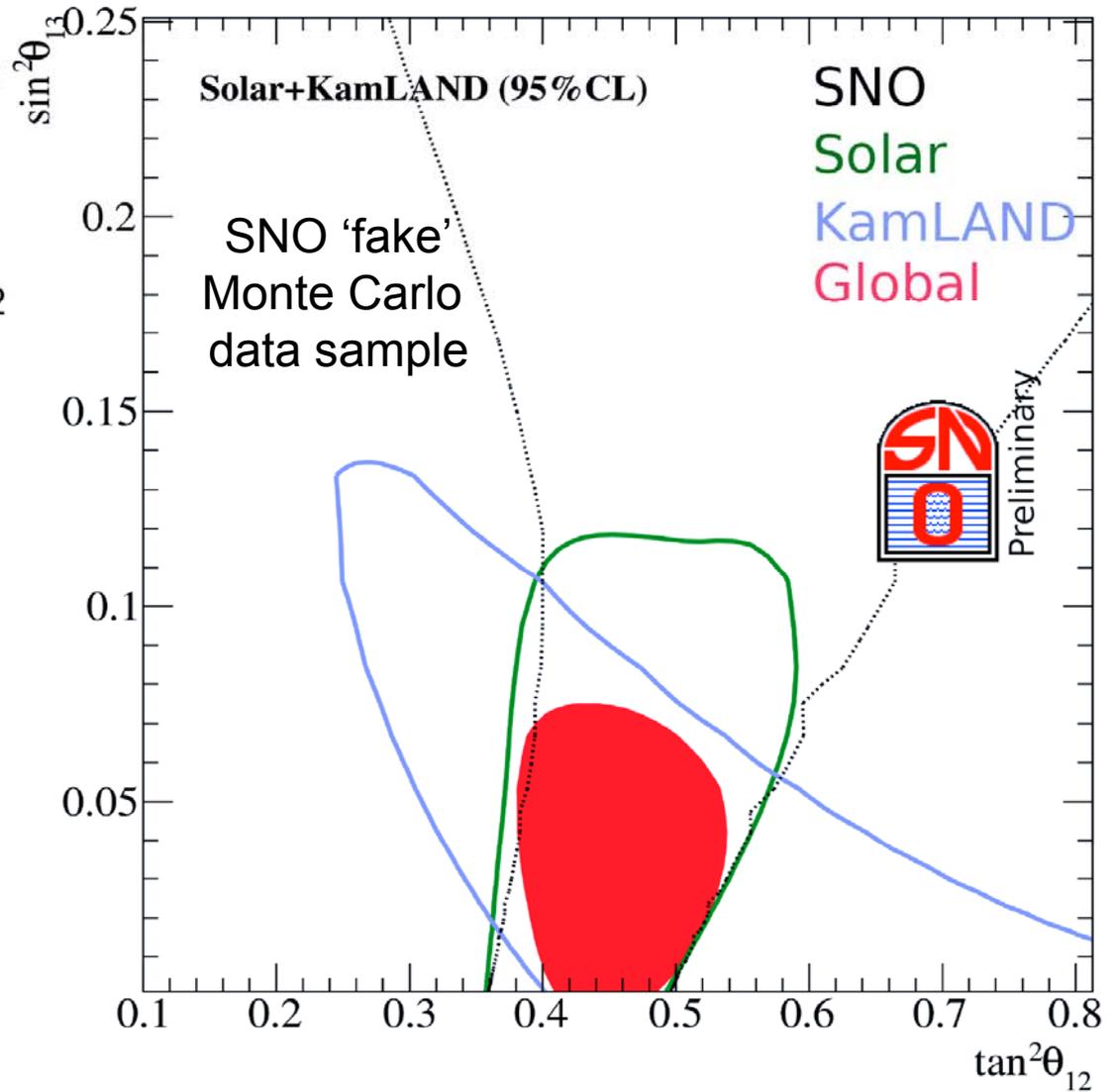
- SNO data from two first phases.
- Uncertainty on  $\tan^2 \theta_{12}$  decreases compared to previous analyses, even with the effect of  $\sin^2 \theta_{13}$ .
- Precision on  $\Delta m_{21}^2$  dominated by KamLAND.



# Sub-leading Effect:

$$\sin^2 \theta_{13}$$

- SNO data from two first phases.
- Precision on  $\tan^2 \theta_{12}$  allows to see the effect of  $\sin^2 \theta_{13}$ .
- Signs of  $\sin^2 \theta_{13} > 0$ .



# Conclusion & Future

- First paper (2001)
- Direct evidence of solar neutrino flavour transformation (2002)...but assumed  $P_{ee} = 1$
- Confirmation of solar neutrino oscillations in 2005 with full salt results and 2008 with first NCD paper
- Total flux in agreement with SSM and at more than  $5\sigma$  level:

$$\phi_{\mu\tau} > 0$$

$$\Delta m_{12}^2 > 0$$
$$P_{ee} < 1$$

$$\phi_{e\mu\tau} = \phi_{SSM}$$

- Great physics out of SNO
- Archival solar physics publications in 2009 with a consistent 3-phase fit of all SNO data
- From solving SNP to precision physics